

**New York State
Department of Motor Vehicles**

Motor Vehicle Liability Insurance Reporting Implementation Guide

Version 1.4
August 2020

**Insurance Information & Enforcement System (IIES)
New Directions in Enforcing Compulsory Insurance Laws**

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1.0 Document Purpose

The purpose of this Implementation Guide is to provide insurance companies and servicing agents with information on how to report certain liability insurance transactions to the New York State Department of Motor Vehicles (NYS DMV). This document defines:

- Insurer and NYS DMV initiated transactions
- The relationship between business events and transactions
- Transactions that must be reported to NYS DMV
- Transactions that must not be reported to NYS DMV
- The electronic data exchange (EDI) process
- EDI segment and data element specifications
- ANSI X12 997 Functional Acknowledgment specifications

This Implementation Guide also describes the associated data formats used to verify and acknowledge communications as well as error handling. Additional References: IIES Program Bulletins and Parts 32 and 34 of the Regulations of the New York State Commissioner of Motor Vehicles.

2.0 Version and Release

This document is amended to Version 1.4 of the NYS DMV Motor Vehicle Liability Insurance Reporting Implementation Guide released April, 2020. This release is based on version 3050 of the ASC X12 811 transaction set. NYS DMV reserves the right to upgrade the version used for reporting motor vehicle liability insurance transactions to 4020 (or higher) in the future.

3.0 Program Purpose

Chapter 678 of the Laws of 1997 as amended by Chapter 509 of the Laws of 1998 provided new directions for enforcing compulsory insurance laws in New York State. The Insurance Information & Enforcement System (IIES) is NYS DMV's comprehensive program that implements provisions of the New York State Vehicle & Traffic Law.

IIES goals include:

- Increasing compliance with NYS compulsory insurance laws thereby reducing the uninsured motorist population
- Enhancing both the effectiveness and efficiency of NYS DMV's insurance programs
- Eliminating Insurance ID Card fraud
- Enabling a more timely exchange of information
- Creating and maintaining an up-to-date database of insurance information
- Facilitating on-road enforcement
- Reducing/minimizing NYS DMV contacts with insured registrants
- Enhancing data compatibility between insurers and NYS DMV
- Automating insurance verification processes
- Virtually eliminating paper communications between insurers and NYS DMV

4.0 DMV IIES Contact

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Albany, NY 12228

iiesmail@dmv.ny.gov (e-mail)

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(518) 402-2287 (Fax)

www.dmv.ny.gov/iies.htm (Web Site)

5.0 Business Reporting Specifications

Major differences between FS Certification Program reporting based on Chapter 781 of the Laws of 1983 and IIES reporting based on Chapter 678 of the Laws of 1997, as amended by Chapter 509 of the Laws of 1998 include:

- Adoption of the insurance industry's ANSI ASC X12 811 standards by NYS DMV
- Replacement of reel and cartridge tape reporting as well as most manual reporting with EDI
- Adding new insurer initiated transactions - new business (NBS), reinstatement (REI), no insurance (NIS), rescind cancellation (REC) & rescind proof (REP)
- Eliminating the Rescission of Termination transaction (function is now incorporated within the REC transaction)
- Expansion of the definition of cancellation (XLC) to require the reporting of: all policy non-renewals and vehicles dropped without replacement from in force policies
- Adding new NYS DMV initiated insurance verification transaction - mandatory verification (MVF)
- Incorporating for-hire (FH) vehicles (taxis, liveries, buses, school cars, daily rentals, ambulances and hearse/invalid coaches) into IIES

5.1 Transaction Types

Insurance companies or servicing agents must send the following transactions to NYSDMV:

- New Business (NBS)
- Reinstatement (REI)
- Cancellation (XLC)
- No Insurance (NIS)
- Rescind Cancellation (REC)
- Rescind Proof (REP)

The following transaction, initiated by NYS DMV, requires a response from insurance companies or servicing agents:

- Mandatory Verification (MVF)

5.2 Transactions That Must Be Reported to NYS DMV

The table below outlines the insurer-initiated transactions that must be reported to NYS DMV. EDI notification is required whenever an insurer experiences any of these business events.

Table 1 - Insurer Initiated Transactions

Business Event	Transaction Description	Transaction Code	Notification is Due / Usage Rules
1. New policy issuance (all vehicles. DLR/TRA registrations (all plates).	New Business	NBS	Not later than 7 days after the effective date.
2. Vehicle(s) added to an in force policy. DLR/TRA plate(s) added to an in force policy.			Not later than 14 days after the MVF transaction date.
3. A different vehicle – replaces a vehicle on an in force policy. A different DLR/TRA plate replaces a plate on an in force policy.			<u>Must not</u> report a future effective date (effective date later than transaction date), except for For-Hire (FH).
4. Policyholder (all vehicles, all DLR/TRA plates) moved to a different company within insurer group (different NAIC code).			For-Hire (FH) reporting is outlined in CRR part 34

Business Event	Transaction Description	Transaction Code	Notification is Due / Usage Rules
<p>5. Policy is reinstated (all vehicles. DLR/TRA registrations, all plates).</p> <p>6. Vehicle is reinstated to policy. DLR/TRA plate is reinstated to policy.</p>	Reinstatement	REI	<p>Not later than 7 days after the date of the reinstatement action.</p> <p>Effective date must be the same as or later than the previous cancellation effective date.</p> <p><u>Must not</u> report a future effective date (effective date later than transaction date).</p>
<p>7. Policy is cancelled by insurer/insured (all vehicles. DLR/TRA registrations, all plates).</p> <p>8. Vehicle(s) <u>dropped without replacement</u> from an in force policy. DLR/TRA plate(s) dropped from an in force policy.</p>	Cancellation	XLC	<p>Not later than 30 days after the effective date, but only after any late payment grace period provided by insurer, except for For-Hire (FH).</p> <p><u>Must not</u> report a future effective date (effective date later than transaction date), except for For-Hire (FH).</p> <p><u>Must</u> report at least 20 days prior to the effective date for For-Hire (FH).</p>
<p>9. Repudiate coverage in response to a DMV initiated MVF transaction (company does not insure vehicle nor DLR/TRA plate).</p>	No Insurance	NIS	<p><u>Must</u> respond not later than 14 days after the MVF transaction date.</p>
<p>10. Rescind a cancellation transaction previously reported for vehicle or DLR/TRA plate.</p>	Rescind Cancellation	REC	<p>Effective date must be the same as the cancellation effective date.</p> <p>May be used to rescind an erroneous cancellation transaction that has been reported and <u>matched</u> to a record by NYS DMV.</p>
<p>11. Rescind a NBS or REI</p>	Rescind Proof	REP	<p>Effective date must be the same as the</p>

Business Event	Transaction Description	Transaction Code	Notification is Due / Usage Rules
<p>transaction previously reported for vehicle or DLR/TRA plate.</p>			<p>NBS or REI effective date.</p> <p>May be used to rescind an erroneous proof of insurance transaction that has been reported and <u>matched</u> to a record by NYS DMV.</p> <p><u>Must</u> be used instead of a XLC if coverage was not provided and the effective date of the XLC is the same as the previously reported and matched NBS.</p>

5.3 Transactions Initiated by NYS DMV

NYS DMV will send the transaction described below to insurers when certain business events occur. The table also identifies the corresponding response required from the insurer.

Table 2 - NYS DMV Initiated Transactions

Business Event	Transaction Description	Transaction Code	Notification is Due / Usage Rules
<ol style="list-style-type: none"> 1. NYS DMV does not receive a NBS transaction to confirm the Insurance ID Card used to register a vehicle or a DLR/TRA plate. NYS DMV initiates a MVF transaction to the insurer to verify insurance for that vehicle or DLR/TRA plate. 2. Verification of insurance for a vehicle or DLR/TRA plate (for a reason not related to a registration transaction). 	Mandatory Verification	MVF	Insurer must respond to NYS DMV with a NBS transaction not later than 14 days after the MVF transaction date if the vehicle or DLR/TRA plate is insured. - or - Insurer must respond to NYS DMV with a NIS transaction not later than 14 days after the MVF transaction date if the vehicle or DLR/TRA plate is <u>not</u> insured on the MVF date.

5.4 Transactions That Must Not Be Reported to NYS DMV

The table below describes transactions that must not be reported to NYS DMV.

Table 3 – Excluded Transactions

Transactions that must <u>not</u> be reported to NYS DMV
1. Transactions not required by, excluded by, or specifically prohibited by statute, regulation or specification.
2. Multiple submission of the same exact transaction record within the same transmission. Multiple submission of the same exact transaction record within different transmissions. Resubmissions must be the result of a previous error/disposition condition (edit error, unresolved no-hit exception, 997 transaction error, 811 transaction error, or non-receipt of a 997 transaction interchange acknowledgment from NYS DMV).
3. XLC and REI transactions for the same exact record with the same effective date in the same transmission.
4. Transactions for excluded classifications: <ul style="list-style-type: none"> • motorcycles, all terrain vehicles, snowmobiles, vessels, non-commercial trailers • police and fire vehicles • government vehicles, including those with diplomatic plates • implements of husbandry (e.g., farm tractor)
5. NBS transactions for policy renewals, except if the vehicle is registered in a For Hire class, NBS is required at renewal
6. XLC transactions for vehicles or DLR/TRA plates <u>replaced</u> with other vehicles or DLR/TRA plates (policy remains in force).
7. Transactions for policy changes related to drivers, coverage limits, non-liability coverage, administrative issues, policy number changes, etc.
8. Transactions for canceled policies that were reactivated to make administrative changes unrelated to this program, e.g. premium adjustment.
9. XLC transactions if policyholder (all vehicles or all DLR/TRA plates) was moved to another company within the same group (different NAIC code) with no lapse in coverage.
10. Transactions for quotes when the requester never accepted the policy (XLC effective date equals NBS effective date).
11. Transactions for vehicles not registered in New York State.
12. Transactions for vehicle owner policyholders (e.g., lessors) who are not NYS registrants.

6.0 EDI Exchange Process

The EDI information transfer occurs when an insurance company or servicing agent sends an electronic file in X12 format containing sets of transactions. These transactions represent business events that must be reported to NYS DMV as described in Section 5 and formatted according to the X12.811 standard as described in Appendix A.

Upon receipt of the file by NYS DMV the transactions will be translated using a commercial software package. If the file format or segments (group of data elements) within the file are not compliant with this EDI specification, a 997 transaction will be returned with an error code to the insurance company/servicing agent indicating that the interchange has been rejected. In addition, an automated e-mail, which further describes the translation error, is generated and sent to the EDI technical contact. Daily errors are returned to the SENDER ID that submitted the filings to DMV.

The following are NYS DMV translator identified error scenarios:

- required segments are missing
- required data elements are missing
- data elements are invalid:
 - incorrect number of characters
 - incorrect value according to the specification as outlined in this guide

Each transmission that passes through the translator without error will be acknowledged with a 997 transaction returned to the sender.

NYS DMV application programs will process transactions that continue through the translator. Any errors found at the application program level will be returned with the appropriate error code(s) as an 811 transaction.

6.1 Disposition / Error Code Descriptions

Listed below are the various disposition / error codes that can be returned via an 811 transaction:

Disposition / Error Code	Meaning
R###	<p>Indicates a resolved no-hit exception. The data did not exactly match a registration record on the NYS DMV Registration file.</p> <p>However, the transaction was matched to a registration record through NYS DMV's electronic exceptions resolution process. NYS DMV has posted information from both the transaction and the "matched" registration record to the insurance database.</p>

Disposition / Error Code	Meaning
	<p>The “###” contains an error code that specifies which incoming field(s) were used for resolution. If more than one field did not match, multiple error segments will be present. The “###” will also identify a late filing.</p> <p>A transaction with a resolved no-hit exception disposition code will contain the following information:</p> <p>One or more error segments at the policy and/or vehicle levels. These segments will be followed by the insurer provided policy and/or vehicle information. The error code will specify which incoming field(s) were used during the NYS DMV exception resolution process.</p> <p>In addition, an error segment and information from the specific NYS DMV’s Registration record that the transaction was matched and posted to will also be sent. The code in the error segment will indicate that the information is being provided from the NYS DMV’s Registration file.</p>
U###	<p>Indicates an unresolved no-hit exception. A matching registration record has not been found after NYS DMV’s exceptions resolution process. NYS DMV has <u>not</u> posted the data to the insurance database.</p> <p>A transaction with an unresolved no-hit disposition code will contain the following information:</p> <p>One or more error segments at the policy and/or vehicle levels will be followed by the insurer provided policy and/or vehicle information. The error code will specify which incoming field(s) was unmatched.</p> <p>In addition, an error segment and information from a specific NYS DMV Registration record may also be sent, e.g., in cases of an exact match on VIN but no match on name. The code in the error segment will indicate that the information is being provided from the NYS DMV’s Registration file.</p>
E###	<p>Indicates an edit error due to missing or invalid information in one or more of the data fields. NYS DMV has <u>not</u> posted the data to the insurance database.</p> <p>The “###” contains an error code that specifies which incoming field(s) were in error or whether the transaction was erroneous (e.g., excluded vehicle classification). If more than one field is in error, multiple error segments will be present.</p>

6.2 Data Reporting Guidelines

Several data formatting guidelines must be followed when reporting to NYS DMV.

1. EDI fields are variable length, followed by a delimiter. NYS DMV utilizes the asterisk “ * ” as a data element separator, and therefore it cannot exist within the content of any data element. The tilde “ ~ ” will be used as a segment terminator, and also cannot exist within the content of any data element.
2. Do not use null values. Use spaces for alphanumeric fields with no value. Use zeroes for numeric fields with no value.
3. All alphabetic characters must be in UPPER CASE.
4. The standard date field format is YYMMDD (year, month, day).
5. An additional date field will contain CC (century) and must only contain the values “19” or “20” (as appropriate).
6. All mandatory fields must always contain data.
7. All Numeric fields (type = Numeric) must be right justified.
8. All alphanumeric fields (type = Alphanumeric) must be left justified.

6.3 Functional Acknowledgments: ANSI X12 997 Transactions

NYS DMV will send an ANSI X12 997 Functional Acknowledgment transaction to insurance companies in response to all transmissions received by NYS DMV. If a transaction has no errors, insurers may use the 997 Functional Acknowledgment as proof of receipt and acceptance of the data as provided.

If a transaction received by NYS DMV contains errors in data, insurers will also receive an ANSI X12811 transaction containing error codes (up to five) as defined in Appendix D, Pages D-42 to D-55.

Likewise, insurers will be required to send an ANSI X12 997 Functional Acknowledgment transaction to NYS DMV for every transaction set received.

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Appendix A

EDI Segment and Data Element Specifications

**Insurance Information & Enforcement System (IIES)
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Appendix A - EDI Segment and Data Element Specification

Segment Key

Corresponding to each segment listed within the Segment and Data Element Specifications are boxes that hold information pertaining to how each segment will be handled in the transaction set. An explanation of the information found in a box has been outlined below:

Segment Information

Pos - Indicates the position of the segment within the transaction.

Id - The segment Id of the segment or the data element Id.

Segment Name - The name of the segment.

Req - The status of the segment according to the X12 standard (i.e. - Mandatory, Optional, Conditional)

Max Use - The maximum number of occurrences of the segment.

Repeat - The number of times the loop can repeat.

Notes - Additional information pertaining to the segment.

Segment Example

<u>Pos</u>	<u>Id</u>	<u>Segment Name</u>	<u>Req</u>	<u>Max Use</u>	<u>Repeat</u>	<u>Notes</u>
010	HL	Hierarchical Level - Insurer Level	M	1	≥1	

Segment Id

Max number of times the segment repeats

Number of data elements in the segment

ISA

Interchange Control Header

Pos: 010	Max: 1
- Mandatory	
Loop: N/A	Elements: 16

This segment contains the control information for the interchange.
Element Summary:

Ref	Id	Element Name	Req	Type	Min/Max	Usage
ISA01	I01	Authorization Information Qualifier Description: Contains the code value to identify the code set in the Authorization Information element. Must always contain the code value "00".	M	ID	2/2	NYS DMV Required
		Code Name				
		00 No Authorization Information Present (No Meaningful Info. in Authorization Info.)				

Code Value

Decode

Minimum and Max Length
of the data element

Data Element Usage by NYS DMV
(Listed below)

Segment requirement

M - Mandatory - Indicates that the sender must use the segment.

C - Conditional - Indicates that the presence of the segment will be decided by the presence of other segments or codes in a different data element.

O - Optional - Indicates that the segment may or may not be used by the sender.

Data element usage by NYS DMV

NYS DMV Required - Required by NYS DMV for processing.

NYS DMV Optional - Will be used by NYS DMV for processing if provided.

Data Types

ID - Identifier

N0 - Numeric with no decimals (Integer)

AN - Alphanumeric

DT - Date in YYMMDD format

Note:

Whenever a value is specified within quotes (e.g. "ZZ") only provide the value (i.e. do not include the quotes).

811 Motor Vehicle Liability Insurance Reporting

Functional Group=CI

This lists the segments included in the Motor Vehicle Liability Insurance Reporting Specification.

Segments:

<u>Pos</u>	<u>Id</u>	<u>Segment Name</u>	<u>Req</u>	<u>Max Use</u>	<u>Repeat</u>	<u>Notes</u>
010	ISA	Interchange Control Header	M	1		
020	GS	Functional Group Header	M	1		

Heading:

<u>Pos</u>	<u>Id</u>	<u>Segment Name</u>	<u>Req</u>	<u>Max Use</u>	<u>Repeat</u>	<u>Notes</u>
010	ST	Transaction Set Header	M	1		
020	BIG	Beginning Segment for Invoice	M	1		

LOOP ID - N1

<u>Pos</u>	<u>Id</u>	<u>Segment Name</u>	<u>Req</u>	<u>Max Use</u>	<u>Repeat</u>	<u>Notes</u>
030	N1	Sender's Name	M	1		
040	N2	Sender's Additional Name Information	O	1		
080	PER	Sender's Administrative Communications Contact	M	1		

LOOP ID - N1

<u>Pos</u>	<u>Id</u>	<u>Segment Name</u>	<u>Req</u>	<u>Max Use</u>	<u>Repeat</u>	<u>Notes</u>
090	N1	Receiver's Name	M	1		

Detail:

<u>Pos</u>	<u>Id</u>	<u>Segment Name</u>	<u>Req</u>	<u>Max Use</u>	<u>Repeat</u>	<u>Notes</u>
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LOOP ID - HL

<u>Pos</u>	<u>Id</u>	<u>Segment Name</u>	<u>Req</u>	<u>Max Use</u>	<u>Repeat</u>	<u>Notes</u>
010	HL	Hierarchical Level - Insurer Level	M	1		

020	LX	Section Separator - Insurer Level	C	1		
030	REF	Error Segment - Insurer Level	C	1		

040	NM1	Insurer Name	M	1		
050	N2	Insurer Additional Name Information	O	1		
090	PER	Insurer Administrative Communications Contact	C	1		

LOOP ID - IT1

<u>Pos</u>	<u>Id</u>	<u>Segment Name</u>	<u>Req</u>	<u>Max Use</u>	<u>Repeat</u>	<u>Notes</u>
100	IT1	Baseline Item Data (Insurer)	M	1		
110	DTM	Reporting Date	M	1		

LOOP ID - HL

<u>Pos</u>	<u>Id</u>	<u>Segment Name</u>	<u>Req</u>	<u>Max Use</u>	<u>Repeat</u>	<u>Notes</u>
120	HL	Hierarchical Level - State Level	M	1		

130	NM1	State Name	M	1		
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LOOP ID - HL					≥1
170	HL	Hierarchical Level - Policy Level	M	1	
LOOP ID - HL					
180	LX	Section Separator - Policy Level	C	1	
190	REF	Error Segment - Policy Level	C	1	
LOOP ID - HL					
200	NM1	Primary Insured Name	M	1	
210	N2	Insured Gender	C	1	
220	N3	Insured Address Information	M	1	
230	N4	Insured Geographic Location	M	1	
LOOP ID - IT1					1
240	IT1	Baseline Item Data (Policy)	M	1	
250	SI	Transaction Purpose	M	1	
260	SI	Additional Transaction Purpose	O	1	
270	REF	Policy Number	C	1	
280	REF	Document Identification Code	O	1	
290	REF	Issuer License Number	C	1	
300	DTM	Primary Insured Date of Birth	C	1	
310	DTM	Effective Date	C	1	
320	DTM	Termination Effective Date	C	1	
LOOP ID - IT1					2
330	IT1	Baseline Item Data (Additional Insured)	O	1	
340	DTM	Additional Insured's Date of Birth	C	1	
LOOP ID - NM1					1
350	NM1	Additional Insured Name	O	1	
360	N2	Additional Insured Gender	C	1	
LOOP ID - HL					≥1
370	HL	Hierarchical Level -Vehicle Level	M	1	
LOOP ID - LX					1
380	LX	Section Separator	M	1	
390	VEH	Vehicle Information	M	1	
400	REF	Disposition Segment - Vehicle Level	C	1	
410	REF	Vehicle's NYS License Plate Number	O	1	

Summary:

<u>Pos</u>	<u>Id</u>	<u>Segment Name</u>	<u>Req</u>	<u>Max Use</u>	<u>Repeat</u>	<u>Notes</u>
010	TDS	Total Monetary Value Summary	M	1		
020	CTT	Transaction Totals	M	1		
030	SE	Transaction Set Trailer	M	1		
040	GE	Functional Group Trailer	M	1		
010	IEA	Interchange Control Trailer	M	1		

ISA Interchange Control Header

Pos: 010	Max: 1
- Mandatory	
Loop: N/A	Elements: 16

This segment contains the control information for the interchange.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
ISA01	101	Authorization Information Qualifier Description: Contains the code value to identify the code set in the Authorization Information element (ISA02). Must always contain the code value "00".	M	ID	2/2	NYS DMV Required
		<u>Code Name</u>				
		00 No Authorization Information Present (No Meaningful Info. in Authorization Info)				
ISA02	102	Authorization Information Description: Must contain spaces only.	M	AN	10/10	NYS DMV Required
ISA03	103	Security Information Qualifier Description: Contains the code value to identify the code set in the Security Information element (ISA04). Must always contain the code value "00".	M	ID	2/2	NYS DMV Required
		<u>Code Name</u>				
		00 No Security Info. Present (No Meaningful Info. in Security Information)				
ISA04	104	Security Information Description: Contains spaces only.	M	AN	10/10	NYS DMV Required
ISA05	105	Interchange Sender ID Qualifier Description: Contains the code value to identify the code set in the Interchange Sender ID element (ISA06). Must always contain the code value "30" to indicate a Federal Taxpayer ID or "ZZ" to indicate an insurer preferred sender ID when the insured is the sender.	M	ID	2/2	NYS DMV Required
		<u>Code Name</u>				
		30 U.S. Federal Tax Identification Number				
		ZZ Mutually Defined				
ISA06	106	Interchange Sender ID Description: Contains the Sender ID of the NYS DMV or the Sender ID as used by Insurance Company, Servicing Agent or other sending organization.	M	AN	15/15	NYS DMV Required
ISA07	105	Interchange Receiver ID Qualifier Description: Contains the code value to identify the code set in the Interchange Sender ID element (ISA08).	M	ID	2/2	NYS DMV Required
		<u>Code Name</u>				
		30 U.S. Federal Tax Identification Number				
		ZZ Mutually Defined				
ISA08	107	Interchange Receiver ID	M	AN	15/15	NYS

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<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
		Description: Contains the Sender ID of the NYS DMV or the Receiver ID as used by Insurance Company, Servicing Agent, or other receiving organization.				DMV Required
ISA09	I08	Interchange Date Description: Contains the date on which the interchange was sent by the NYS DMV, Insurance Company, Servicing Agent or other sending organization. Must always be in the format YYMMDD. EDI translators typically populate this data element automatically using the system date at the time of translation.	M	DT	6/6	NYS DMV Required
ISA10	I09	Interchange Time Description: Contains the time at which the interchange was sent by the NYS DMV, Insurance Company, Servicing Agent, or other sending organization. Must be in the format HHMM, in 24 hour format. EDI translators typically populate this data element automatically using the system time at the time of translation.	M	TM	4/4	NYS DMV Required
ISA11	I10	Interchange Control Standards Identifier Description: Contains the code value to identify the ASC X12 standard in which the data is formatted. Must always contain the code value "U". EDI translators typically populate this data element automatically. <u>Code Name</u> U U.S. EDI Community of ASC X12, TDCC, and UCS	M	ID	1/1	NYS DMV Required
ISA12	I11	Interchange Control Version Number Description: Contains the code value indicating that the draft standards for trial use approved for Publication by ASC X12 Procedures will be used in the transaction. Must always contain the code value "00305". EDI translators typically populate this data element automatically. <u>Code Name</u> 00305 Draft Standards for Trial Use Approved for Publication by ASC X12 Procedures	M	ID	5/5	NYS DMV Required
ISA13	I12	Interchange Control Number Description: Tracking number generated by the sender. The number will be returned by the receiver so that errors can be tracked. EDI translators typically populate this data element automatically.	M	N0	9/9	NYS DMV Required
ISA14	I13	Acknowledgment Requested Description: Code value is sent by the sender to request an interchange acknowledgment. Must always contain the code value "1". <u>Code Name</u> 1 Interchange Acknowledgment Requested	M	ID	1/1	NYS DMV Required
ISA15	I14	Test Indicator	M	ID	1/1	NYS

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
		<p>Description: Contains either the code value "T", indicating Test Data has been sent, or the code value "P", indicating that Production Data has been sent.</p> <p><u>Code Name</u></p> <p>P Production Data</p> <p>T Test Data</p>				DMV Required
ISA16	I15	<p>Component Element Separator</p> <p>Description: Must always contain the hexadecimal value "1F". Indicates the end of the control segment.</p>	M	AN	1/1	NYS DMV Required

GS Functional Group Header

Pos: 020	Max: 1
- Mandatory	
Loop: N/A	Elements: 8

This segment contains the beginning of a functional group and related control information.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
GS01	479	Functional Identifier Code Description: Contains the code value to identify a group of application related Transaction Sets. Must always contain the code value "CI". <u>Code Name</u> CI Consolidated Service Invoice/Statement (811)	M	ID	2/2	NYS DMV Required
GS02	142	Application Sender's Code Description: Contains the Sender ID of the NYS DMV or the Sender Code as used by Insurance Company, Servicing Agent or other sending organization. Will always contain the value "NYMV<3 Spaces>NYMVIE1", the Sender ID, when NYS DMV sends the transaction.	M	AN	2/15	NYS DMV Required
GS03	124	Application Receiver's Code Description: Contains the Sender ID of the NYS DMV or the Receiver Code as used by Insurance Company, Servicing Agent or other receiving organization. Must always contain the value "NYMV<3 Spaces>NYMVIE1", the Sender ID, when NYS DMV is the recipient of the transaction.	M	AN	2/15	NYS DMV Required
GS04	373	Date Description: Date on which the Function Group was sent by the NYS DMV, Insurance Company, Servicing Agent or any other sending organization. Must always be in the format YYMMDD. EDI translators typically populate this data element automatically using the system date at the time of translation.	M	DT	6/6	NYS DMV Required
GS05	337	Time Description: Time at which the function group was sent by the NYS DMV, Insurance Company, Servicing Agent or any other sending organization. Must be in HHMM, in 24 hour format. EDI translators typically populate this data element automatically using the system time at the time of translation.	M	TM	4/8	NYS DMV Required
GS06	28	Group Control Number Description: Tracking number generated by the sender. The number will be returned by the receiver so that errors can be tracked. EDI translators typically populate this data element automatically. This number must be the same as data element GE02: Group Control Number.	M	N0	1/9	NYS DMV Required

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
GS07	455	Responsible Agency Code Description: Contains the code identifying the issuer of the standard. Must always contain the code value "X". <u>Code Name</u> X Accredited Standards Committee X12	M	ID	1/2	NYS DMV Required
GS08	480	Version / Release / Industry Identifier Code Description: Contains the code value indicating that the draft standards for trial use approved for Publication by ASC X12 Procedures will be used in the transaction. Must always contain the code value "003050". <u>Code Name</u> 003050 Draft Standard Approved for Publication by ASC X12 Procedures Review Board.	M	AN	1/12	NYS DMV Required

ST Transaction Set Header

Pos: 010	Max: 1
Heading - Mandatory	
Loop: N/A	Elements: 2

This segment contains the start of a transaction set.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
ST01	143	Transaction Set Identifier Code Description: Contains the code value indicating the 811 transaction set. Must always contain the code value "811".	M	ID	3/3	NYS DMV Required
		<u>Code Name</u> 811 X12.39 Consolidated Service Invoice/Statement Description: This data element must contain 811 identifying that it is the 811 transaction set used for Motor Vehicle Liability Insurance Reporting				
ST02	329	Transaction Set Control Number Description: Contains a unique control number, assigned by the sender, and must be the same as the Transaction Set Control Number in SE02. Number is sequentially assigned within each functional group, usually beginning with 0001 and incremented by one for each additional transaction set within the group. Number must be unique within a specific functional group or interchange, but can be repeated in other groups and interchanges. Unique number is also used to aid in error reconciliation and research.	M	AN	4/9	NYS DMV Required

EDI translators typically populate this data element automatically.

BIG Beginning Segment for Invoice

Pos: 020 Max: 1
 Heading - Mandatory
 Loop: N/A Elements: 2

This segment contains the motor vehicle liability insurance reporting date.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
BIG01	373	Date Description: Contains the date the transaction set was created in the sender's system. Must always be in the format YYMMDD. EDI translators typically populate this data element automatically using the system date at the time of translation.	M	DT	6/6	NYS DMV Required
BIG02	76	Invoice Number Description: Must always contain the value "1".	M	AN	1/1	NYS DMV Required

N1

Sender's Name

Pos: 030	Max: 1
Heading - Mandatory	
Loop: N1	Elements: 4

This segment contains the identification information for NYS DMV, Insurance Company, Servicing Agent or any other sending organization.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
N101	98	Entity Identifier Code Description: Contains the code value to identify the sender. Must contain the code value "IN" when an Insurance Company sends the transaction set. Must contain the code value "SQ" when a Servicing Agent sends the transaction set. Must contain the code value "2F" when NYS DMV sends the transaction set. <u>Code Name</u> 2F State Description: This code will be used when NYS DMV is sending the transaction. IN Insurance Company Description: This code will be used when an Insurance Company is sending the transaction. SQ Servicing Agent Description: This code will be used when the Servicing Agent is sending the transaction.	M	ID	2/2	NYS DMV Required
N102	93	Sender's Name Description: Contains the name of the State, Insurance Company, Servicing Agent or any other organization sending the transaction set. Will contain "NYS DMV" when NYS DMV is the sender.	M	AN	1/35	NYS DMV Required

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
N103	66	Identification Code Qualifier Description: Contains the code value to identify the Identification code. Must always contain "FI" when a Servicing Agent sends the transaction set. Must always contain "NI" when an Insurance Company sends the transaction set. Will contain "ZZ" when the NYS DMV is sending the transaction.	M	ID	2/2	NYS DMV Required
<u>Code Name</u>						
		FI Federal Taxpayer's Identification Number Description: This code will be used when the Servicing Agent is sending the transaction.				
		NI National Association of Insurance Commissioners (NAIC) Identification Code Description: Code must be present when the Insurance company is sending the transaction.				
		ZZ Mutually defined This code is also used when NYS DMV is the sender of the transaction.				
N104	67	Identification Code Description: Contains the identification number. Must always contain the NAIC code when an Insurance Company sends the transaction. Must always contain the Federal Taxpayer's ID when a Servicing Agent sends the transaction. Will always contain the value "NYMV<3 Spaces>NYMVIE1", the Sender ID when NYS DMV sends the transaction.	M	AN	5/20	NYS DMV Required

N2

Sender's Additional Name Information

Pos: 040	Max: 1
Heading - Optional	
Loop: N1	Elements: 2

This segment contains the portion of the name that is longer than 35 characters in length. This segment will not be used when NYS DMV sends the transaction.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
N201	93	Sender's Name - Continued Description: Contains the portion of the Sender's name that did not fit in the Sender's Name element N102.	M	AN	1/35	NYS DMV Required
N202	93	Sender's Name - Continued Description: Contains the portion of the Sender's name that did not fit in the Sender's Name elements N102 and N201.	O	AN	1/35	NYS DMV Optional

PER

Sender's Administrative Communications Contact

Pos: 080 Max: 1
 Heading - Mandatory
 Loop: N1 Elements: 4

This segment contains the contact information of the person or office where administrative communications should be sent.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
PER01	366	Contact Function Code (Administrator) Description: Contains the code value to identify the administrator information in PER01, PER02, PER03 and PER04. Must contain "AM" to identify the administrator. <u>Code Name</u> AM Administrator	M	ID	2/2	NYS DMV Required
PER02	93	Name Description: Contains the Administrator name. When NYS DMV sends the transaction this element will contain "NYSDMV".	M	AN	1/35	NYS DMV Required
PER03	365	Telephone Number Qualifier Description: Code identifying the telephone number (PER04). Must always contain the code value "TE". <u>Code Name</u> TE Telephone	M	ID	2/2	NYS DMV Required
PER04	364	Telephone Number Description: Contains the complete telephone number including area code (exclude parentheses). When NYS DMV sends the transaction, this element will contain the value "5184022130".	M	AN	10/80	NYS DMV Required

N1 Receiver's Name

Pos: 090	Max: 1
Heading - Mandatory	
Loop: N1	Elements: 4

This segment contains the identification information of the NYS DMV, Insurance Company, Servicing Agent or any other receiving organization.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>								
N101	98	Entity Identifier Code Description: Contains the code value to identify the recipient. Must always contain the code value "2F" when NYS DMV is the recipient of the transaction set. Must always contain the code value "IN" when an Insurance Company is the recipient of the transaction set. Must always contain the code value "SQ" when a Servicing Agent is the recipient of the transaction set. <table border="0" style="width: 100%;"> <tr> <td style="width: 10%;"><u>Code Name</u></td> <td style="border-top: 1px solid black;"></td> </tr> <tr> <td>2F</td> <td>State Description: This code will be used when NYS DMV is the recipient of the transaction.</td> </tr> <tr> <td>IN</td> <td>Insurance Company Description: This code will be used when an Insurance Company is the recipient.</td> </tr> <tr> <td>SQ</td> <td>Servicing Agent Description: This code will be used when a Servicing Agent is the recipient.</td> </tr> </table>	<u>Code Name</u>		2F	State Description: This code will be used when NYS DMV is the recipient of the transaction.	IN	Insurance Company Description: This code will be used when an Insurance Company is the recipient.	SQ	Servicing Agent Description: This code will be used when a Servicing Agent is the recipient.	M	ID	2/2	NYS DMV Required
<u>Code Name</u>														
2F	State Description: This code will be used when NYS DMV is the recipient of the transaction.													
IN	Insurance Company Description: This code will be used when an Insurance Company is the recipient.													
SQ	Servicing Agent Description: This code will be used when a Servicing Agent is the recipient.													
N102	93	Receiver's Name Description: Contains the State name, Insurance Company name, Servicing Agent name or any other receiving organization. Must always contain "NYS DMV" when NYS DMV is the recipient.	M	AN	1/35	NYS DMV Required								

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
N103	66	<p>Identification Code Qualifier Description: Contains the code value to identify the Identification code (N104).</p> <p>Must always contain "FI" when a Servicing Agent is the recipient of the transaction set.</p> <p>Must always contain "NI" when an Insurance Company is the recipient of the transaction set.</p> <p>Will contain "ZZ" when NYS DMV is the recipient of the transaction set.</p> <p><u>Code Name</u></p> <p>FI Federal Taxpayer's ID Description: This code will be used when a Servicing Agent is the recipient of the transaction.</p> <p>NI NAIC code Description: This code will be used when an Insurance Company is the recipient of the transaction.</p> <p>ZZ Mutually defined. This code is also used when NYS DMV is the recipient of the transaction.</p>	M	ID	2/2	NYS DMV Required
N104	67	<p>Identification Code Description: Contains the identification code of the recipient.</p> <p>Must always contain the value "NYMV<3 Spaces>NYMVIE1" when NYS DMV is the recipient.</p> <p>Must always contain the Federal Taxpayer ID of the Servicing Agent when a Servicing Agent is the recipient.</p> <p>Must always contain the NAIC code of the Insurance Company when an Insurance Company is the recipient.</p>	M	AN	2/20	NYS DMV Required

HL Hierarchical Level - Insurer Level

Pos: 010	Max: 1
Detail - Mandatory	
Loop: HL	Elements: 3

This segment contains information to identify dependencies among the hierarchically related groups of data segments.

When NYS DMV reports an error at this level, all reported transactions for the insurer will be rejected.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
HL01	628	Hierarchical ID Number Description: Contains a unique number for each occurrence of the HL segment within the transaction set. The value assigned to the first HL segment is 1, and is incremented by one for each subsequent occurrence of the HL segment within the transaction set.	M	AN	1/12	NYS DMV Required
HL03	735	Hierarchical Level Code Description: Contains the code defining the characteristic of a level in a hierarchical structure. Must always contain the code value "1". <u>Code Name</u> 1 Service/Billing Provider	M	ID	1/1	NYS DMV Required
HL04	736	Hierarchical Child Code Description: Contains the code indicating that there are subordinate HL data segments in the hierarchical structure. Must always contain the code value "1". <u>Code Name</u> 1 Additional Subordinate HL Data Segments in This Hierarchical Structure.	M	ID	1/1	NYS DMV Required

LX

Section Separator - Insurer Level

Pos: 020	Max: 1
Detail - Conditional	
Loop: LX	Elements: 1

This segment is a section separator and will be used only by NYS DMV when reporting errors on the transactions received earlier. This segment must not be used by any other organization.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
LX01	554	Assigned Number Description: Must always contain the value "1".	M	N0	1/1	NYS DMV Required

REF Error Segment - Insurer Level

Pos: 030	Max: 1
Detail - Conditional	
Loop: LX	Elements: 2

This segment must contain the error codes that NYS DMV encounters at the Insurer level. If there is more than one error in a transaction, this segment will be repeated.

Only NYS DMV will send this segment. This segment must not be used by any other organization.

When NYS DMV reports an error at this level, all reported transactions for the insurer will be rejected

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
REF01	128	Reference Number Qualifier Description: Contains the code value to identify the error code in Reference number element (REF02). Must always contain the code value "1Q".	M	ID	2/2	NYS DMV Required
		<u>Code Name</u> 1Q Error Identification Code				
REF02	127	Reference Number Description: Contains one of the error codes identified by NYS DMV (Refer to the disposition code list in pages A-62).	M	AN	1/30	NYS DMV Required

NM1 Insurer Name

Pos: 040	Max: 1
Detail - Mandatory	
Loop: NM1	Elements: 6

This segment contains the Insurer Name and the NAIC code of the insurer.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
NM101	98	Entity Identifier Code Description: Contains the code value to identify the insurer. Must always contain the code value "IN".	M	ID	2/2	NYS DMV Required
		<u>Code Name</u> IN Insurer				
NM102	1065	Entity Type Qualifier Description: Contains the code value to qualify the type of entity. Must always contain the code value "2".	M	ID	1/1	NYS DMV Required
		<u>Code Name</u> 2 Non-Person Entity				
NM103	1035	Insurer Name Description: Contains the Insurer name.	M	AN	1/35	NYS DMV Required
NM104	1036	Insurer Name - Continued Description: Contains the portion of the Insurer name that did not fit in NM103.	O	AN	1/25	NYS DMV Optional
NM108	66	Identification Code Qualifier Description: Contains the code value to identify the NAIC code in the Identification code (NM109). Must always contain the code value "NI".	M	ID	1/2	NYS DMV Required
		<u>Code Name</u> NI National Association of Insurance Commissioners (NAIC) Identification				
NM109	67	Identification Code Description: Contains the NAIC code of the insurer.	M	N0	5/5	NYS DMV Required

N2

Insurer Additional Name Information

Pos: 050	Max: 1
Detail - Optional	
Loop: NM1	Elements: 2

This segment contains the portion of the name that is longer than 60 characters in length and that did not fit in the Insurer Name element NM103 and Insurer Name - Continued element NM104.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
N201	93	Insurer Name Continued Description: Contains the portion of the Insurer name that did not fit in the Insurer Name elements NM103 and NM104.	M	AN	1/35	NYS DMV Required
N202	93	Insurer Name Continued Description: Contains the portion of the Insurer name that did not fit in the Insurer Name elements NM103, NM104 and Insurer Name Continued element N201.	O	AN	1/35	NYS DMV Optional

PER Insurer Administrative Communications Contact

Pos: 090 Max: 1
 Detail - Conditional
 Loop: NM1 Elements: 4

This segment contains the contact information of the person or office to whom administrative communications should be directed. This segment will not be populated when the NYS DMV is the sender.

The information in this segment will be the same as the PER Sender's Administrative Communications Contact if the Insurer is reporting on behalf of itself and should be duplicated.

Information in this segment will be different from the PER Sender's Administrative Communications Contact if a Servicing Agent or other third party is reporting on behalf of an Insurer.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
PER01	366	Contact Function Code Description: Contains the code value to identify the administrator information in PER01, PER02, PER03 and PER04. Must contain "AM" to identify the administrator. <u>Code Name</u> AM Administrator	M	ID	2/2	NYS DMV Required
PER02	93	Name Description: Contains the Administrator name.	M	AN	1/35	NYS DMV Required
PER03	365	Telephone Number Qualifier Description: Code identifying the telephone number (PER04). Must always contain the code value "TE". <u>Code Name</u> TE Telephone	M	ID	2/2	NYS DMV Required
PER04	364	Telephone Number Description: Contains the complete telephone number including area code (exclude parentheses and dashes).	M	AN	10/80	NYS DMV Required

IT1 **Baseline Item Data (Insurer)**

Pos: 100	Max: 1
Detail - Mandatory	
Loop: IT1	Elements: 3

To specify the basic and most frequently used line item data for the invoice and related transactions.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
IT102	358	Quantity Invoiced Description: Must always contain the value "1".	M	R	1/1	NYS DMV Required
IT103	355	Unit or Basis for Measurement Code Description: Must always contain the code value "IP". <u>Code Name</u> IP Insurance Policy Description: An individual insurance policy.	M	ID	2/2	NYS DMV Required
IT104	212	Unit Price Description: Must always contain the value "0".	M	R	1/1	NYS DMV Required

DTM Reporting Date

Pos: 110	Max: 1
Detail - Mandatory	
Loop: IT1	Elements: 3

This segment contains the date the transactions are being reported by the Insurance Company, Servicing Agent, or any other sending organization.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
DTM01	374	Date/Time Qualifier Description: Contains the code value to identify the Date (DTM02). Must always contain the code value "368". <u>Code Name</u> 368 Submittal	M	ID	3/3	NYS DMV Required
DTM02	373	Date Description: Contains the date the transactions are being reported. Must always be in the format YYMMDD.	M	DT	6/6	NYS DMV Required
DTM05	624	Century Description: Contains the century in which the transactions are being reported.	M	N0	2/2	NYS DMV Required

HL Hierarchical Level - State Level

Pos: 120	Max: 1
Detail - Mandatory	
Loop: HL	Elements: 4

This segment contains information to identify dependencies among the hierarchically related groups of data segments.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
HL01	628	Hierarchical ID Number Description: Contains a unique number for each occurrence of the HL segment within the transaction set. The value assigned to the first HL segment is 1, and is incremented by one for each subsequent occurrence of the HL segment within the transaction set.	M	AN	1/12	NYS DMV Required
HL02	734	Hierarchical Parent ID Number Description: Contains the identification number of the next higher hierarchical data segment that the data segment being described is subordinate to. Must contain the same value as contained in HL01 of the Hierarchical Level - Insurer Level segment.	M	AN	1/12	NYS DMV Required
HL03	735	Hierarchical Level Code Description: Contains the code defining the characteristic of a level in a hierarchical structure. Must always contain the code value "2". <u>Code Name</u> 2 Billing Arrangement	M	ID	1/1	NYS DMV Required
HL04	736	Hierarchical Child Code Description: Contains the code value to indicate that Additional Subordinate HL Data Segment is present in this Hierarchical Structure. Must always contain the code value "1". <u>Code Name</u> 1 Additional Subordinate HL Data Segment in This Hierarchical Structure.	M	ID	1/1	NYS DMV Required

NM1 State Name

Pos: 130	Max: 1
Detail - Mandatory	
Loop: NM1	Elements: 5

This segment contains the State name of NYS DMV.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
NM101	98	Entity Identifier Code Description: Contains the code value to identify the state. Must always contain the code value "2F". <u>Code Name</u> 2F State	M	ID	2/2	NYS DMV Required
NM102	1065	Entity Type Qualifier Description: Contains the code value to qualify the type of entity. Must always contain the code value "2". <u>Code Name</u> 2 Non-Person Entity	M	ID	1/1	NYS DMV Required
NM103	1035	State Name Description: Must contain the value "NYS DMV".	M	AN	6/6	NYS DMV Required
NM108	66	Identification Code Qualifier Description: Contains the code value to identify the Federal Taxpayer's ID in the identification code (NM109). Must always contain the code value "ZZ". <u>Code Name</u> ZZ Mutual defined	M	ID	2/2	NYS DMV Required
NM109	67	Identification Code Description: Must always contain "NYMV<3 Spaces>NYMVIE1", the Sender ID of the NYS DMV.	M	AN	14/14	NYS DMV Required

HL Hierarchical Level - Policy Level

Pos: 170	Max: 1
Detail - Mandatory	
Loop: HL	Elements: 4

This segment contains information to identify dependencies among the hierarchically related groups of data segments.

When NYS DMV reports an error at this level, it may result in the rejection of all the vehicles reported within the policy depending on the error code. Processing will continue with the next policy.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
HL01	628	Hierarchical ID Number Description: Contains a unique number for each occurrence of the HL segment within the transaction set. The value assigned to the first HL segment is 1, and is incremented by one for each subsequent occurrence of the HL segment within the transaction set.	M	AN	1/12	NYS DMV Required
HL02	734	Hierarchical Parent ID Number Description: Contains the identification number of the next higher hierarchical data segment that the data segment being described is subordinate to. Must contain the same value as contained in HL01 of the Hierarchical Level - State Level segment.	M	AN	1/12	NYS DMV Required
HL03	735	Hierarchical Level Code Description: Indicates the application content of the series of segments following the current HL segment up to the next occurrence of an HL segment. <u>Code Name</u> 4 Group	M	ID	1/1	NYS DMV Required
HL04	736	Hierarchical Child Code Description: Contains "1" indicating that Additional Subordinate HL Data Segment is present in this Hierarchical Structure. <u>Code Name</u> 1 Additional Subordinate HL Data Segment in This Hierarchical Structure.	M	ID	1/1	NYS DMV Required

LX

Section Separator - Policy Level

Pos: 180	Max: 1
Detail - Conditional	
Loop: LX	Elements: 1

This segment is a section separator and will be used only by NYS DMV when reporting errors on the transactions received earlier. This segment must not be used by any other organization.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
LX01	554	Assigned Number Description: Must always contain "1".	M	N0	1/1	NYS DMV Required

REF Error Segment - Policy Level

Pos: 190	Max: 1
Detail - Conditional	
Loop: LX	Elements: 2

This segment contains the error codes that NYS DMV encounters. If there is more than one error in a policy this segment will be repeated.

Only NYS DMV will send this segment. This segment must not be used by any other organization.

An error at this level may result in the rejection of all the vehicles depending on the error code. Processing will continue with the next policy.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
REF01	128	Reference Number Qualifier Description: Contains the code value to identify the error code in Reference number element (REF02). Must always contain the code value "1Q". <u>Code Name</u> 1Q Error Identification Code	M	ID	2/2	NYS DMV Required
REF02	127	Reference Number Description: Contains one of the error codes identified by the NYS DMV (Refer to the disposition code list in pages A-62)	M	AN	1/30	NYS DMV Required

NM1 Primary Insured Name

Pos: 200	Max: 1
Detail - Mandatory	
Loop: NM1	Elements: 9

This segment contains the name of the insured and the NYS driver's License Number (in case of an individual) or Federal Taxpayer's ID (in case of an organization) of the insured.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
NM101	98	Entity Identifier Code Description: Contains the code value to identify the insured. Must always contain the code value "IL". <u>Code Name</u> IL Insured or Subscriber	M	ID	2/2	NYS DMV Required
NM102	1065	Entity Type Qualifier Description: Contains the code value to qualify the type of insured. Must always contain the code value "1" when individuals are reported. Must always contain the code value "2" when an organization is reported. <u>Code Name</u> 1 Person Description: This code will be used when reporting policy information for an individual insured. 2 Non-Person Entity Description: This code will be used when reporting policy information for an organization, trust or DBA.	M	ID	1/1	NYS DMV Required
NM103	1035	Last Name of Individual or Organization Name (Org Name 1) Description: Contains the Last name of the individual insured when code value in Entity Type Qualifier (NM102) is "1". Contains the organization name when the code value in Entity Type Qualifier (NM102) is "2".	M	AN	1/35	NYS DMV Required
NM104	1036	First Name of Individual or Organization Name (Name 2,3) Continued Description: Contains the First name of the individual insured when code value in Entity Type Qualifier (NM102) is "1". Contains portion of the organization name that did not fit in the Last Name or Organization Name element (NM103) when the code value in Entity Type Qualifier (NM102) is "2".	C	AN	1/25	NYS DMV Optional

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<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>				
NM105	1037	<p>Middle Name Description: Contains the Middle name of the individual insured when code value in Entity Type Qualifier (NM102) is "1".</p> <p>Must not be used when the code value in Entity Type Qualifier (NM102) is "2".</p>	O	AN	1/25	NYS DMV Optional				
NM106	1038	<p>Name Prefix Description: Contains the Prefix to the name of the individual insured when code value in Entity Type Qualifier (NM102) is "1".</p> <p>Must not be used when the code value in Entity Type Qualifier (NM102) is "2".</p>	O	AN	1/10	NYS DMV Optional				
NM107	1039	<p>Name Suffix Description: Contains the Suffix of the individual insured when code value in Entity Type Qualifier (NM102) is "1".</p> <p>Must not be used when the code value in Entity Type Qualifier (NM102) is "2".</p>	O	AN	1/10	NYS DMV Optional				
NM108	66	<p>Identification Code Qualifier Description: Contains the code value to identify the NYS Driver's License Number or the Federal Taxpayer's ID in the identification code (NM109).</p> <p>Must always contain the code value "FI" when code value in Entity Type Qualifier (NM102) is "2".</p> <p>Must always contain the code value "N" when code value in Entity Type Qualifier (NM102) is "1".</p> <p><u>Code Name</u></p> <table border="0"> <tr> <td>FI</td> <td>Federal Taxpayer's ID</td> </tr> <tr> <td>N</td> <td>NYS Driver's License Number</td> </tr> </table> <p>Description: This code will be used when reporting policy information for an individual insured.</p>	FI	Federal Taxpayer's ID	N	NYS Driver's License Number	O	ID	1/2	NYS DMV Required
FI	Federal Taxpayer's ID									
N	NYS Driver's License Number									

NM109	67	Identification Code Description: Contains the NYS Driver's License Number or the Federal Taxpayer's ID.	O	AN	9/20	NYS DMV Required
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Must always contain the NYS Driver's License Number when code value in Entity Type Qualifier (NM102) is "1".

Must always contain the Federal Taxpayer's ID when code value in Entity Type Qualifier (NM102) is "2".

NOTE: An Insurer is REQUIRED to report an individual's NYS Driver's License Number if the individual has a New York Driver's License or an organization's Federal Taxpayer ID. Insurers who record and report NYS Driver's License Numbers and Federal Taxpayer ID's will benefit from a higher rate of matched vehicles, resulting in fewer errors and resubmissions.

N2 Insured Gender

Pos: 210	Max: 1
Detail - Conditional	
Loop: NM1	Elements: 1

This segment contains the gender of the insured.
 This segment is mandatory when an individual insured is reported.
 This segment must not be used when an organization is reported.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
N201	93	Gender	M	AN	1/1	NYS DMV Required
Description: Contains the code value to identify the gender of the insured.						
Must contain the code value "M" when a male insured is reported.						
Must contain the code value "F" when a female insured is reported.						
Must contain the code value "U" when the gender is unknown.						
<u>Code Name</u>						
	F	Female				
	M	Male				
	U	Unknown				

N3 Insured Address Information

Pos: 220 Max: 1
 Detail - Mandatory
 Loop: NM1 Elements: 2

This segment contains the street address of the Insured.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
N301	166	Address Line 1 Description: Contains the Insured Street Address Line 1.	M	AN	1/35	NYS DMV Required
N302	166	Address Line 2 Description: Contains the Insured Street Address Line 2.	O	AN	1/35	NYS DMV Optional

N4 Insured Geographic Location

Pos: 230	Max: 1
Detail - Mandatory	
Loop: NM1	Elements: 3

This segment contains the City, State and ZIP Code of the Insured.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
N401	19	City Name Description: Contains the City of the insured.	M	AN	2/30	NYS DMV Required
N402	156	State Code Description: Contains the state code of the insured. Must contain the US Postal Standards Abbreviations when the state code is reported.	M	ID	2/2	NYS DMV Required
N403	116	ZIP Code Description: Contains the 5 or 9 digit ZIP code of the insured. Must be used only when State Code element (N402) contains a US state code.	C	ID	5/9	NYS DMV Required

IT1 **Baseline Item Data (Policy)**

Pos: 240	Max: 1
Detail - Mandatory	
Loop: IT1	Elements: 3

This segment indicates that the information reported within the loop is policy related.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
IT102	358	Quantity Invoiced Description: Must always contain the value "1".	M	R	1/1	NYS DMV Required
IT103	355	Unit or Basis for Measurement Code Description: Must always contain the code value "IP". <u>Code Name</u> IP Insurance Policy Description: An individual insurance policy.	M	ID	2/2	NYS DMV Required
IT104	212	Unit Price Description: Must always contain the value "0".	M	R	1/1	NYS DMV Required

SI Transaction Purpose

Pos: 250	Max: 1
Detail - Mandatory	
Loop: IT1	Elements: 3

This segment contains the transaction type.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
SI01	559	Agency Qualifier Code Description: Contains the code to indicate that the transaction types are mutually agreed upon. Must always contain the code value "ZZ". <u>Code Name</u> ZZ Mutually Defined	M	ID	2/2	NYS DMV Required
SI02	1000	Service Characteristics Qualifier Description: Contains the code to identify the policy transaction codes. Must always contain the code value "11". <u>Code Name</u> 11 Policy Transaction Code	M	ID	2/2	NYS DMV Required
SI03	234	Product/Service ID Description: Contains one of the code values listed below: Insurer initiated: NBS - New Business REI - Reinstatement XLC - Cancellation NIS - No Insurance REC – Rescind Cancellation REP – Rescind Proof DMV initiated as a result of errors: NBS - New Business REI - Reinstatement XLC - Cancellation NIS - No Insurance REC – Rescind Cancellation REP – Rescind Proof DMV initiated for verification: MVF - Mandatory Verification <u>Code Name</u> MVF Mandatory Verification NBS New Business NIS No Insurance REI Reinstatement XLC Cancellation REC Rescind Cancellation REP Rescind Proof	M	AN	3/3	NYS DMV Required

SI Additional Transaction Purpose

Pos: 260	Max: 1
Detail - Optional	
Loop: IT1	Elements: 3

This segment is optional when reporting New Business, Cancellation, Reinstatement, No Insurance, Rescind Cancellation or Rescind Proof transactions.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Tvpe</u>	<u>Min/Max</u>	<u>Usage</u>
SI01	559	Agency Qualifier Code Description: Contains the code to indicate that the policy transaction types are mutually agreed upon. Must always contain the code value "ZZ". <u>Code Name</u> ZZ Mutually Defined	M	ID	2/2	NYS DMV Required
SI02	1000	Service Characteristics Qualifier Description: Contains the code to identify the transaction type of the policy reason codes. Must always contain the code value "11" when reporting New Business (NBS) Reason Codes. Must always contain the code value "12" when reporting Cancellation (XLC) Reason Codes. Must always contain the code value "13" when reporting Reinstatement (REI) Reason Codes. Must always contain the code value "14" when reporting No Insurance (NIS) Reason Codes. Must always contain the code value "15" when reporting Rescind Cancel (REC) Reason Codes. Must always contain the code value "16" when reporting Rescind Proof (REP) Reason Codes. <u>Code Name</u> 11 New Business Reason Code 12 Cancellation Reason Code 13 Reinstatement Reason Code 14 No Insurance Reason Code 15 Rescind Cancellation Reason Code 16 Rescind Proof Reason Code	M	ID	2/2	NYS DMV Required
SI03	234	Product/Service ID Description: Contains the appropriate reason codes identified by NYS DMV.	M	AN	3/3	NYS DMV Required

Please refer to page A-67 for Reason Types.

REF Policy Number

Pos: 270	Max: 1
Detail - Conditional	
Loop: IT1	Elements: 3

This segment contains the Insurance Policy Number.

This segment must be used when insurer reports the following transaction types,

- NBS - New Business
- XLC - Cancellation
- REI – Reinstatement
- REC – Rescind Cancellation
- REP – Rescind Proof

NYS DMV may or may not use this segment when submitting Mandatory Verification (MVF) transactions.

This segment may not be used when the Insurer reports No Insurance transactions (NIS).

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
REF01	128	Reference Number Qualifier Description: Contains the code value to identify the Insurance Policy Number in the Reference number element (REF02). Must always contain the code value "IG". <u>Code Name</u> IG Insurance Policy Number	M	ID	2/2	NYS DMV Required
REF02	127	Reference Number Description: Contains the Insurance Policy Number. This data element may not contain an Insurance Policy number for MVF transactions initiated by NYS DMV.	M	AN	1/30	NYS DMV Required
REF03	352	Description Description: Contains the code to identify the type of policy. Must contain the code value "1" when a Personal Policy is reported. Must contain the code value "2" when a Commercial Policy is reported. Must contain the code value "3" when a For Hire Policy is reported. Must contain the code value "4" when the policy type is unknown. Only NYS DMV will use this code when the policy type is not available for a Mandatory Verification (MVF). Must contain the code value "5" when the XLC (FH) effective date is less than 20 days after the current date and the plate surrender date on the record is prior to or equal to the XLC date. Must contain the code value "6" when the XLC (FH) effective date is less than 20 days after the current date and there is superceding coverage on file with a prior or equal effective date. The superceding coverage must be less than 75 days prior to the effective date of the XLC and have a	M	AN	1/1	NYS DMV Required

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
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different ICC.

Must contain the code value “7” when the XLC (FH) effective date is equal to the XLC (FH) effective date of the replaced vehicle. Both XLC transactions must have the same ICC.

Must contain the code value “8” when a dealer/transporter registration plate number is reported. No specific VIN is associated with this registration class.

Code Name

- | | |
|---|--|
| 1 | Personal Policy |
| 2 | Commercial Policy |
| 3 | For Hire Policy |
| 4 | Unknown (DMV use only) |
| 5 | For-Hire Registration Plate Surrender |
| 6 | For-Hire Registration New Insurance Coverage |
| 7 | For-Hire Registration Replaced Vehicle |
| 8 | Dealer/Transporter Registration |

REF Document Identification Code

Pos: 280	Max: 1
Detail - Optional	
Loop: IT1	Elements: 2

This segment contains the Insurer Document Identification Code.

This segment is provided for those Insurers who wish to submit the Document Identification Code for a given policy.

NYS DMV will return the same code to Insurers when reporting errors to assist in policy tracking.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
REF01	128	Reference Number Qualifier Description: Contains the code value to identify the Document Identification Code in the Reference number element (REF03). Must always contain the code value "DD". <u>Code Name</u> DD Document Identification Code	M	ID	2/2	NYS DMV Required
REF03	352	Description Description: A free-form description to clarify the related data elements and their content.	M	AN	1/80	NYS DMV Required

REF Issuer License Number

Pos: 290	Max: 1
Detail - Conditional	
Loop: IT1	Elements: 2

This segment contains the Issuer License Number.

This segment must not be used by the insurer or servicing agents.

This segment will be used by the NYS DMV to report the Insurance ID Card Issuer's NYS Insurance Department License Number, if available, when reporting the following transaction:

MVF - Mandatory Verification

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
REF01	128	Reference Number Qualifier Description: Contains the code value to identify the Issuer License Number in the Reference number element (REF02). Must always contain the code value "XM". <u>Code Name</u> XM Issuer Number	M	ID	2/2	NYS DMV Required
REF02	127	Reference Number Description: Contains the Issuer License Number.	M	AN	1/30	NYS DMV Required

DTM Primary Insured Date of Birth

Pos: 300	Max: 1
Detail - Conditional	
Loop: IT1	Elements: 3

This segment contains the date of birth of the insured. This segment must be used only to report insured's (individual's) date of birth when the Entity Type Qualifier element (NM102) in the Primary Insured Name segment contains the code value "1".

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
DTM01	374	Date/Time Qualifier Description: Contains the code value to identify the Date (DTM02). Must always contain the code value "222". <u>Code Name</u> 222 Birth	M	ID	3/3	NYS DMV Required
DTM02	373	Date Description: Contains the date of birth of the insured. Must always be in the format YYMMDD.	M	DT	6/6	NYS DMV Required
DTM05	624	Century Description: Contains the century in which the insured was born.	M	N0	2/2	NYS DMV Required

DTM Effective Date

Pos: 310	Max: 1
Detail - Conditional	
Loop: IT1	Elements: 3

This segment must be used only when reporting the following transactions:

- NBS - New Business
- REI - Reinstatement
- NIS - No Insurance
- REP – Rescind Proof

MVF - Mandatory verification

When reporting NBS and REI transactions, this segment must contain the liability coverage effective/ reinstatement date.
When reporting REP, this segment must contain the effective date of the transaction being rescinded.

When NYS DMV reports MVF, this segment will contain either the liability coverage effective date, registration processing date or other date specified by NYS DMV. Insurer must verify coverage for this date and respond to NYS DMV with a NBS. If there is no coverage for this date the insurer must respond with a NIS transaction.

The effective date for NBS and REI is the date the liability coverage becomes effective. Coverage begins at 12:01 a.m. on the effective date.

This segment must not be used for any other transaction type.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
DTM01	374	Date/Time Qualifier Description: Contains the code value to identify the Date in DTM02. Must always contain the code value "007". <u>Code Name</u> 007 Effective	M	ID	3/3	NYS DMV Required
DTM02	373	Date Description: Must contain the liability coverage effective/ reinstatement date when reporting NBS, REI transactions. REP must contain the effective date of the LOD , NBS or REI being rescinded. When NYS DMV reports MVF, this segment will contain either the liability effective coverage effective date, registration processing date or other date specified by NYS DMV. Insurer must respond to NYS DMV with NBS to verify coverage for this date or NIS to deny coverage. The effective date is the date the liability coverage becomes effective. Coverage begins at 12:01 a.m. on the effective date. Must always be in the format YYMMDD.	M	DT	6/6	NYS DMV Required
DTM05	624	Century Description: Contains the century of the date specified in DTM02 above.	M	N0	2/2	NYS DMV

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
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Required

DTM Termination Effective Date

Pos: 320	Max: 1
Detail - Conditional	
Loop: IT1	Elements: 3

This segment must contain the termination effective date when a cancellation (XLC) transaction is being reported.

The Termination Effective Date is the date liability coverage is terminated. Coverage ends at 12:01 a.m. on the Termination Effective Date.

Example: A termination effective date of April 1, 1999 means that no insurance coverage is provided by the terminating company on April 1, 1999 or thereafter.

When a REC transaction is being reported, it must contain the termination date of the XLC transaction being rescinded.

This segment must not be used for any other transaction types.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
DTM01	374	Date/Time Qualifier Description: Contains the code value to identify the Date (DTM02). Must always contain the code value "036". <u>Code Name</u> 036 Termination Description: Date coverage expires	M	ID	3/3	NYS DMV Required
DTM02	373	Date Description: Contains the termination effective date when a cancellation (XLC) transaction is being reported. REC contains the effective date of the XLC being rescinded. The Termination Effective Date is the date liability coverage is terminated. Coverage ends at 12:01 a.m. on the Termination Effective Date Must always be in the format YYMMDD.	M	DT	6/6	NYS DMV Required
DTM05	624	Century Description: Contains the century of the date specified in DTM02 above.	M	N0	2/2	NYS DMV Required

IT1

Baseline Item Data (Additional Insured)

Pos: 330 Max: 1
 Detail - Optional
 Loop: IT1 Elements: 4

This segment must be used to report additional insured. This segment contains the sequential number of the additional insureds reported.

Along with this segment, the Additional Insured's Date of Birth segment, Additional Insured's Name segment and the Additional Insured's Additional Name segment may be repeated for each additional insured in the policy.

The Additional Insured's Date of Birth segment must not be used when Additional Organizations are being reported.

A maximum of eight additional insureds may be reported.

NYS DMV will use the ninth additional insured to report DMV Registrant record information sent to Insurers for resolved vehicles and unresolved VIN-Hit vehicles.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
IT101	350	Assigned Identification Description: Contains a sequential number, beginning with 1 and a maximum of 8, incremented by one for each additional insured in the policy. A "9" will always indicate NYS DMV returned Registrant information for R505, R605 and U605 resolved/unresolved transactions.	M	AN	1/1	NYS DMV Optional
IT102	358	Quantity Invoiced Description: Must always contain the value "1".	M	R	1/1	NYS DMV Required
IT103	355	Unit or Basis for Measurement Code Description: Must always contain the code value "IP". <u>Code Name</u> IP Insurance Policy Description: An individual insurance policy.	M	ID	2/2	NYS DMV Required
IT104	212	Unit Price Description: Must always contain the value "0".	M	R	1/1	NYS DMV Required

DTM Additional Insured's Date of Birth

Pos: 340	Max: 1
Detail - Conditional	
Loop: IT1	Elements: 3

This segment must be used only to report insured's (individual's) date of birth when the Entity Type Qualifier element (NM102) in the Additional Insured Name segment contains the code value "1".

This segment may or may not be populated on DMV initiated transactions.

This segment contains the date of birth of the additional insured.

The Baseline Item Data (Additional Insured), Additional Insured's Date of Birth segment, Additional Insured's Name segment and the Additional Insured's Additional Name segment may be repeated for each of the additional insured in the policy.

A maximum of eight additional insureds may be reported.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
DTM01	374	Date/Time Qualifier Description: Contains the code value to identify the Date (DTM02). Must always contain the code value "222". <u>Code Name</u> 222 Birth	M	ID	3/3	NYS DMV Required
DTM02	373	Date Description: Contains the date of birth of the additional insured. Must always be in the format YYMMDD.	M	DT	6/6	NYS DMV Required
DTM05	624	Century Description: Contains the century in which the additional insured was born.	M	N0	2/2	NYS DMV Required

NM1 Additional Insured Name

Pos: 350	Max: 1
Detail - Optional	
Loop: NM1	Elements: 9

This segment contains the name of the additional insured and the NYS Driver's License Number (in case of an individual) or Federal Taxpayer's ID (in case of an organization) of the additional insured.

The Baseline Item Data (Additional Insured), Additional Insured Date of Birth segment, Additional Insured Name segment and the Additional Insured Additional Name segment may be repeated for each of the additional insured in the policy.

A maximum of eight additional insureds may be reported.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
NM101	98	Entity Identifier Code Description: Contains the code value to identify the Additional insured. Must always contain the code value "OP". <u>Code Name</u> OP Additional Insured	M	ID	2/2	NYS DMV Required
NM102	1065	Entity Type Qualifier Description: Contains the code value to qualify the type of additional insured. Must always contain the code value "1" when individuals are reported. Must always contain the code value "2" when an Organization is reported. <u>Code Name</u> 1 Person 2 Non-person Entity Description: This code will be used when reporting policy information for an Organization.	M	ID	1/1	NYS DMV Required
NM103	1035	Last Name or Organization Name Description: Contains the Last name of the Additional individual Insured when code value in Entity Type Qualifier (NM102) is "1". Contains the Additional organization name when the code value in Entity Type Qualifier (NM102) is "2".	M	AN	1/35	NYS DMV Required
NM104	1036	First Name or Organization Name Continued Description: Contains the First name of the Additional individual insured when code value in Entity Type Qualifier (NM102) is "1". Contains the portion of the organization name that did not fit in the Last Name or Organization Name element (NM103) when the code value in Entity Type Qualifier (NM102) is "2".	C	AN	1/25	NYS DMV Required
NM105	1037	Middle Name Description: Contains the Middle name of the Additional individual insured when code value in Entity Type Qualifier (NM102) is "1".	O	AN	1/25	NYS DMV Optional

Ref	Id	Element Name	Req	Type	Min/Max	Usage						
		Must not be used when the code value in Entity Type Qualifier (NM102) is "2".										
NM106	1038	Name Prefix Description: Contains the Prefix to the name of the Additional individual insured when code value in Entity Type Qualifier (NM102) is "1".	O	AN	1/10	NYS DMV Optional						
		Must not be used when the code value in Entity Type Qualifier (NM102) is "2".										
NM107	1039	Name Suffix Description: Contains the Suffix of the Additional individual insured when code value in Entity Type Qualifier (NM102) is "1".	O	AN	1/10	NYS DMV Optional						
		Must not be used when the code value in Entity Type Qualifier (NM102) is "2".										
NM108	66	Identification Code Qualifier Description: Contains the code value to identify the NYS Driver's License number or the Federal Taxpayer's ID in the identification code(NM109).	O	ID	1/2	NYS DMV Required						
		Must always contain the code value "FI" when code value in Entity Type Qualifier (NM102) is "2".										
		Must always contain the code value "N" when code value in Entity Type Qualifier (NM102) is "1".										
		<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Code</th> <th style="text-align: left;">Name</th> </tr> </thead> <tbody> <tr> <td>FI</td> <td>Federal Taxpayer's ID Description: This code will be used when reporting policy information for an Organization.</td> </tr> <tr> <td>N</td> <td>NYS Driver's License Number</td> </tr> </tbody> </table>	Code	Name	FI	Federal Taxpayer's ID Description: This code will be used when reporting policy information for an Organization.	N	NYS Driver's License Number				
Code	Name											
FI	Federal Taxpayer's ID Description: This code will be used when reporting policy information for an Organization.											
N	NYS Driver's License Number											
NM109	67	Identification Code Description: Contains the NYS Driver's License Number or the Federal Taxpayer's ID.	O	AN	9/20	NYS DMV Required						
		Must always contain the NYS Driver's License Number when code value in Entity type qualifier (NM102) is "1".										
		Must always contain the Federal Taxpayer's ID when code value in Entity type qualifier (NM102) is "2".										
		NOTE: An Insurer is REQUIRED to report an individual's NYS Driver's License Number if the individual has a New York Driver's License or an organization's Federal Taxpayer ID. Insurers who record and report NYS Driver's License Numbers and Federal Taxpayer ID's will benefit from a higher rate of matched vehicles, resulting in fewer errors and resubmissions.										

N2 Additional Insured Gender

Pos: 360	Max: 1
Detail - Conditional	
Loop: NM1	Elements: 1

This segment contains the gender of the additional insured.
 This is a mandatory if the Additional Insured Name segment (NM1) is used to report an individual insured.
 This segment may or may not be populated on DMV initiated transactions.
 This segment must not be used when organizations are reported.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
N201	93	Gender Description: Contains the code value that identifies the gender of the individual insured.	M	AN	1/1	NYS DMV Required
		Must contain the code value "M" when the additional insured reported is a male.				
		Must contain the code value "F" when the additional insured reported is a female.				
		Must contain the code value "U" when the gender is unknown.				
		<u>Code Name</u>				
		F Female				
		M Male				
		U Unknown				

HL Hierarchical Level -Vehicle Level

Pos: 370	Max: 1
Detail - Mandatory	
Loop: HL	Elements: 3

This segment contains information to identify dependencies among the hierarchically related groups of data segments.

When NYS DMV encounters an error at this level, this vehicle will be rejected and processing will continue with the next vehicle.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
HL01	628	Hierarchical ID Number Description: This data element contains a unique number for each occurrence of the HL segment within the transaction set. The value assigned to the first HL segment is 1, and is incremented by one for each subsequent occurrence of the HL segment within the transaction set.	M	AN	1/12	NYS DMV Required
HL02	734	Hierarchical Parent ID Number Description: Contains the identification number of the next higher hierarchical data segment that the data segment being described is subordinate to. Must contain the same value as contained in HL01 of the Hierarchical Level -Policy Level segment.	M	AN	1/12	NYS DMV Required
HL03	735	Hierarchical Level Code Description: Contains the code value defining the characteristic of a level in a hierarchical structure. Must always contain the code value "5". <u>Code Name</u> 5 Category	M	ID	1/2	NYS DMV Required

LX Section Separator

Pos: 380	Max: 1
Detail - Mandatory	
Loop: LX	Elements: 1

This segment is used as a section separator and has no relevance to the application data being sent.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
LX01	554	Assigned Number Description: Must always contain the value "1".	M	N0	1/1	NYS DMV Required

VEH Vehicle Information

Pos: 390 Max: 1
 Detail - Mandatory
 Loop: LX Elements: 9

This segment contains the VIN, Vehicle Make and Vehicle Model.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>						
VEH01	554	Vehicle Count Description: Contains either the sequential number of the vehicle on the policy starting with one and incremented by one for every vehicle on the policy or an insurer's vehicle identification number for a given policy.	M	N0	1/6	NYS DMV Required						
VEH02	539	Vehicle Identification Number Description: Contains the full VIN (a unique identification number stamped on the vehicle by the manufacturer). Dealer/transporter registration: Contains "NYDLR62MCD64TRA66" instead of a unique vehicle identification number.	M	AN	1/25	NYS DMV Required						
VEH03	624	Century of the Vehicle Description: Contains the century the vehicle was made. Dealer/transporter registration: Contains "20"	M	N0	2/2	NYS DMV Required						
VEH04	1095	Year of the Vehicle Description: Contains the year the vehicle was made. Dealer/transporter registration: Contains "06"	M	N0	2/2	NYS DMV Required						
VEH05	559	Agency Qualifier Code Description: Contains the code value to identify the type of code used in the Vehicle make and Vehicle model elements (VEH06 and VEH07). Must always contain the code value "NA" or "ZZ". <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;"><u>Code</u></th> <th style="text-align: left;"><u>Name</u></th> </tr> </thead> <tbody> <tr> <td>NA</td> <td>National Insurance Crime Bureau (NICB)</td> </tr> <tr> <td>ZZ</td> <td>NYS DMV and Proprietary Make and Model code</td> </tr> </tbody> </table> Description: This code will be used only by NYS DMV when reporting the Mandatory Verification transaction as the NICB codes are not available in NYS DMV database. Insurers will use this code when reporting proprietary make and model codes.	<u>Code</u>	<u>Name</u>	NA	National Insurance Crime Bureau (NICB)	ZZ	NYS DMV and Proprietary Make and Model code	M	ID	2/2	NYS DMV Required
<u>Code</u>	<u>Name</u>											
NA	National Insurance Crime Bureau (NICB)											
ZZ	NYS DMV and Proprietary Make and Model code											
VEH06	751	Make of the Vehicle Description: Contains the NICB, NYS DMV or Insurance Company proprietary code for the Make of the Vehicle. Dealer/transporter registration: Contains the value of "NONE". Any code must not exceed five (5) characters.	M	AN	1/5	NYS DMV Required						

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
VEH07	751	Model of the Vehicle Description: Contains the NICB, NYSDMV or Insurance Company proprietary code for the Model of the Vehicle.	O	AN	1/12	NYS DMV Optional
VEH10	127	NYS DMV Tracking Number Description: Contains a sequential number generated by the NYS DMV. The sender must return the value provided by NYS DMV when reporting corrected transactions (resubmissions) that were rejected by NYS DMV or when responding to a Mandatory Verification transaction.	O	N0	1/10	NYS DMV Optional
VEH12	310	Insurer Tracking Number Description: Contains a sequential number generated by the Insurer. The Insurer Tracking Number is optional for use at the Insurer's discretion to aid in resubmission/error tracking.	O	AN	1/10	NYS DMV Optional

REF Disposition Segment - Vehicle Level

Pos: 400	Max: 1
Detail - Conditional	
Loop: LX	Elements: 2

This segment will contain one of the dispositions (acknowledgment) or error codes that NYS DMV uses to indicate whether the vehicle was posted to the NYS DMV Database. If there is more than one error for a vehicle this segment may be repeated to indicate more than one error.

Only NYS DMV will send this segment. This segment must not be used by any other organization.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
REF01	128	Reference Number Qualifier Description: Contains the code value to identify the error code in Reference number element (REF02). Must always contain the code value "1Q". <u>Code Name</u> 1Q Error Identification Code	M	ID	2/2	NYS DMV Required
REF02	127	Reference Number Description: Contains one of the error codes identified by the NYS DMV (Refer to the disposition code list in pages A-62).	M	AN	1/30	NYS DMV Required

REF Vehicle's NYS License Plate Number

Pos: 410	Max: 1
Detail - Optional	
Loop: LX	Elements: 2

This segment contains the NYS License Plate Number of the vehicle.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
REF01	128	Reference Number Qualifier Description: Contains the code value to identify the NYS License plate number in the Reference number element JNAU4T1JXWA505144 (REF02). Must always contain the code value "LV". <u>Code Name</u> LV License Plate Number	M	ID	2/2	NYS DMV Required
REF02	127	NYS License Plate Number Description: Contains the NYS License Plate Number of the vehicle. Transactions for Dealer/transporter registrations must always contain the NYS license plate number.	M	AN	1/8	NYS DMV Required

TDS Total Monetary Value Summary

Pos: 010	Max: 1
Summary - Mandatory	
Loop: N/A	Elements: 1

This segment must always contain the value "1".

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
TDS01	610	Amount Description: Must always contain the value "1".	M	N2	1/1	NYS DMV Required

CTT Transaction Totals

Pos: 020	Max: 1
Summary - Mandatory	
Loop: N/A	Elements: 1

This segment contains the total number of insurance policies reported in the transaction set.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
CTT01	354	Number of Line Items Description: Contains the total number of insurance policy transactions included in the transaction set.	M	N0	1/6	NYS DMV Required

SE

Transaction Set Trailer

Pos: 030	Max: 1
Summary - Mandatory	
Loop: N/A	Elements: 2

To indicate the end of the transaction set and provide the count of the transmitted segments (including the Transaction Set Header (ST) and Transaction set Trailer (SE) segments).

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
SE01	96	Number of Included Segments Description: Contains the total number of segments included in a transaction set including ST and SE segments.	M	N0	1/10	NYS DMV Required
SE02	329	Transaction Set Control Number Description: Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set. This must contain the same value as in ST02.	M	AN	4/9	NYS DMV Required

EDI translators typically populate this data element automatically.

GE Functional Group Trailer

Pos: 040 Max: 1
Summary - Mandatory Loop:
N/A Elements: 2

To indicate the end of a functional group and to provide control information.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
GE01	97	Number of Transaction Sets Included Description: Contains the total number of transaction sets included in the functional group terminated by the trailer containing this data element.	M	N0	1/6	NYS DMV Required
GE02	28	Group Control Number Description: Assigned number originated and maintained by the sender. EDI translators typically populate this data element automatically. This number must be the same as data element GS06: Group Control Number.	M	N0	1/9	NYS DMV Required

IEA Interchange Control Trailer

Pos: 010	Max: 1
Summary - Mandatory	
Loop: N/A	Elements: 2

To define the end of an interchange of zero or more functional groups and interchange-related control segments.

Element Summary:

<u>Ref</u>	<u>Id</u>	<u>Element Name</u>	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>
IEA01	I16	Number of Included Functional Groups Description: A count of the number of functional groups included in an interchange	M	N0	1/5	NYS DMV Required
IEA02	I12	Interchange Control Number Description: A control number assigned by the interchange sender. EDI translators typically populate this data element automatically.	M	N0	9/9	NYS DMV Required

Disposition Code List can be found on the DMV IIES website: <https://dmv.ny.gov/dmv/insurance-information-and-enforcement-system-iies>

Reason Types

The Additional Transaction Purpose segment is changed to an optional segment from conditional. The insurer may report any of the following reason types:

Cancellation Reason Type Codes

NPP	Non Payment of Premium
COC	Company Canceled or Underwriting Reasons
CRQ	Customer Request, Competition, Voluntary
FRD	Fraud by Insured
NPS	Failure to Pay Surcharge
REV	License or Registration Revoked
VOC	Void Cancellation
DIS	Dissatisfaction
SLD	Vehicle Sold
XRF	Transfer
ACT	Financed Account
CAN	Cancel/Rewrite
BDT	Back Dated OTH
	Other

Reinstatement Reason Type Codes

REV	Reversal of Cancellation
ERR	Cancellation Done in Error

Note: No NYS DMV special processing results from submission of reason types.

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Appendix B

Glossary

**Insurance Information & Enforcement System (IIES)
New Directions in Enforcing Compulsory Insurance Laws**

Appendix B - Glossary

Add: New Business (NBS) transaction.

ANSI: American National Standards Institute.

ANSI ASC X12: ANSI Accredited Standards Committee X12.

Batch: Processing method where transactions are grouped and processed together.

Code: Abbreviated value with a specific definition. A Code always has an associated decode.

Code set: Complete grouping of permissible code values.

Control envelope: Used to validate the receipt of correct and complete data.

Control number: A number used to identify a standard data element (data element identifier) or a standard segment (segment identifier).

Data element length: The range, minimum/maximum, of the number of character positions available to represent the value of a data element.

Data element reference designator: A number that uniquely identifies each data element within a segment.

Data element requirement designator: A data element has one of the following requirement designators defining its need to appear within the transaction set:

M - Mandatory Data element must appear.

O - Optional Data element may appear at the option of the sending party.

C - Conditional Data element is dependent on value or appearance of other data elements.

Data element separator: A special character, printable or unprintable, used to separate data elements within a segment.

Data mapping: Relationship between the X12 transaction and an organization's internal application data format.

Data segment identifier: A predefined code that identifies a data segment.

Data segment terminator: A special character which indicates the end of a segment. This character may be printable or unprintable.

DLR/TRA: Dealer/transporter registration designation. NYS registration plate class that does not have a VIN associated with the registration record.

Drop: Cancellation (XLC) transaction.

E (Edit Error): A code returned to an Insurer that identifies the transaction as an edit error due to missing or invalid information in one or more of the data fields or that the transaction was erroneously submitted for an excluded vehicle classification. This code indicates that NYS DMV has not posted the data to the insurance database.

EDI: Electronic Data Interchange.

EDI translator: Package or custom developed software used to perform the conversion of internal application data to and from the X12 standard.

Federal Taxpayer ID Number: Identification number used to uniquely identify EDI trading partners. In this case the number assigned by the Federal Government to organizations for tax purposes.

H (Hit): A code returned to an Insurer that indicates that the transaction was matched to a registration record on the NYS DMV Registration file. NYS DMV has posted the data to the insurance database.

Header: The segment that indicates the start of an entity to be transmitted.

ICS: Interchange control structure, the beginning and ending segments of each EDI transmission.

Insurance ID Card: A document provided to a policyholder that serves as proof of insurance.

Mapping: The relationships between an EDI standard format to an organization's internal application data format.

Match: A transaction returned with a disposition code H (Hit) or R (Resolved No-Hit Exception).

Nested segment: A segment that is associated with one or more segments in a group of logically related segments.

Qualifier: A data element that describes the context of a related data element. Often a code designating which code set is being used in a following data element.

R (Resolved no-hit exception): A code returned to an insurer that indicates that, although there was not an exact data match, the transaction was matched to a registration record on the NYS DMV Registration file through NYS DMV's exceptions resolution process. This code indicates that NYS DMV has posted information from both the transaction and the "matched" registration record to the insurance database.

Segment: A set of logically related data elements.

Segment code: A code that uniquely identifies each segment as specified in a segment directory.

Segment delimiter character: This data element identifies the end of a variable-length segment.

Segment identifier: A 2 or 3 character code that uniquely identifies a specific segment. The identifier serves as a name for the segment and occupies the first character positions of the segment.

Segment requirement designator: A segment has one of the following requirement designators defining its need to appear within the transaction set:

Mandatory	Segment must occur.
Optional	Segment may occur at the option of sending party.
Floating	Segment may occur anywhere after the first or preceding the last segment in a transaction set.

Segment terminator: A special character that indicates the end of a segment.

Special characters: Symbols found on standard keyboard that are not letters or numbers (!, @, #, \$, %, ^, &, *, etc.)

Store and forward: A form of messaging where an EDI transmission is sent to (and stored at) an intermediate location (e.g. a mailbox), and then forwarded to the receiver at a later time.

Trading partners: Organizations that exchange business related information electronically.

Transaction set: A logical grouping of segments. A transaction set is often referred to as a "business document".

Transaction set ID: This data element identifies the transaction set used.

U (Unresolved No-Hit Exception): A code returned to an insurer that indicates that a matching registration record has not been found after NYS DMV's exceptions resolution process. This code indicates that NYS DMV has not posted the data to the insurance database.

VAN: Value Added Network.

VIN: Vehicle Identification Number

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Appendix C

EDI Overview

**Insurance Information & Enforcement System (IIES)
New Directions in Enforcing Compulsory Insurance Laws**

Appendix C - EDI Overview

Electronic Data Interchange (EDI) is the electronic transfer of business information from one computer application to another computer application. EDI is commonly defined as the application-to-application transfer of business documents between computers. Many businesses choose EDI as a fast, inexpensive, and safe method of sending purchase orders, invoices, shipping notices, and other frequently used business documents. EDI is quite different from sending electronic mail messages or sharing files through a network, a modem, or a bulletin board. The straight transfer of computer files requires that the computer applications of both the sender and receiver (referred to as "trading partners") agree upon the format of the document. The sender must use an application that creates a file format identical to their trading partners' computer application. When using EDI, it is not necessary for both trading partners to have identical document processing systems. When one trading partner sends a document, the EDI translation software converts the proprietary format into an agreed upon standard. When the document is received, the EDI translation software automatically changes the standard format into the proprietary format of the document processing software.

Becoming an EDI trading partner requires a computer (either PC, mini or mainframe) and the following:

- Communications hardware
- Communication software
- Translation software

There are many companies marketing EDI hardware, software and services. Sources for obtaining more information include attending EDI trade shows, contacting insurance trade associations or organizations and reviewing the ANSI X-12 Set 811 and 997, Version 3.0, Release 5 Implementation Guide dated October, 1996.

Data must be transported across telecommunications lines in order for the trading partners to trade information. Some of the mechanisms and methods used in this transport of data include:

- Direct connect is the term used to indicate that two EDI trading partners trade information directly to each other without a third-party connection service. Direct connects are normally used by large corporations for intra-company EDI transactions and for inter-company transactions with trading partners that have established high-volume rates of exchange of EDI data.
- Modems are heavily used by EDI trading partners today. Modem-to-modem connections provide a level of security and reliability. The standard in the industry is transmission by binary synchronous modem or "bisync." This method allows for high-speed continuous transmission in which the sending and receiving modems are controlled by clock pulses. The clock pulses regulate the rate and timing of the data flow.

Functional Group Header/Trailer

A functional group envelope consists of a Functional Group Header (GS) and a Functional Group Trailer (GE). The functional group envelopes the transactions sets. The functional group segments provide information including the application sender code, application receiver code, the version of the transaction set used, and control information. The GS/GE may be used to envelope batches of similar transactions for audit and control purposes.

Data elements contained in the Functional Group include:

- Group control number
- Application Senders and receivers code
- Version/Release Industry ID
- Number of included sets

Transaction Set Header/Trailer

A transaction set consists of segments that are intended to communicate business- related information. The Transaction Set Header (ST)/Transaction Set Trailer (SE) segments are used to indicate the beginning and end of a transaction set and to provide identifying control numbers.

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Appendix D

EDI Transaction Sample Data

**Insurance Information & Enforcement System (IIES)
New Directions in Enforcing Compulsory Insurance Laws**

Appendix D - EDI Transaction Sample Data

The following provide sample EDI data for the following transactions:

- D.1 New Business (NBS)
- D.2 Cancellation (XLC)
- D.3 Reinstatement (REI)
- D.4 No Insurance (NIS)
- D.5 Rescind Cancellation (REC)
- D.6 Rescind Proof (REP)
- D.7 New Business (NBS) Dealer/transporter Registration
- D.8 Mandatory Verification (MVF)
- D.9 EDI Error Transaction: Resolved
- D.10 EDI Error Transaction: Unresolved
- D.11 EDI Error Transaction: Multiple Unresolved and Resolved for the same policy

The purpose of these sample data files is to provide EDI technicians who implement the NYS DMV Motor Vehicle Liability Implementation Guide with sample data to best understand how data should occur; including loops, error codes, and repeating segments.

NOTE: For readability, this guide displays one EDI segment per line, preceded by a segment number. True EDI data is one continuous string of data, as created by your commercial EDI translator.

The following data illustrates how EDI data will look once translated for submission to NYS DMV or received from NYS DMV to translate by a commercial EDI translator into your company's application data format.

```
ISA *00*      *00*      *ZZ*1EXP EXAMPLE *ZZ*NYMV NYMVIE1*990810*1045*U*00305*
123456789*1*P*1F~ GS*CI*1EXP EXAMPLE*NYMV NYMVIE1*990810*1045*123456789*X
*003050~ ST*811*0001~ BIG*990810*1~ N1*IN*ABC INSURANCE COMPANY*NI*99999~
N2*INCORPORATED~ PER*AM*ABC ADMINISTRATOR*TE*5185555555~ N1*2F*
NYSDMV*ZZ*NYMV NYMVIE1~ HL*1**1*1 NM1*IN*2*ABC INSURANCE COMPANY*
INCORPORATED****NI*12345 N2*AND AFFILIATES~ PER*AM*ABCADMINISTRATOR*
TE*5185556666~ IT1**1*IP*0~ DTM*368*990810***19~ HL*2*1*2*1~ NM1*2F*2*NYSDMV
***** ZZ*NYMV NYMVIE1~ HL*3*2*4*1~ NM1*IL*1*DOE*JOHN*X*DR*SR*N*123456789~
N2*M~ N3*10 MAIN STREET*SUITE200~ N4*ALBANY*NY*12207~ IT1**1*IP*0~ SI*ZZ
*11*NBS~ REF*IG*A123456789B*1~ REF*DD**54367DH12XB99~ DTM*222*671010***19~
DTM*007*990809***19~ IT1*1*1*IP*0~ DTM*222*630101***19~ NM1*OP*1*JOHNSON
*TED*X*DR*II*N*222222222~ N2*M~ IT1*2*1*IP*0~ DTM*222*801005***19~ NM1*OP*1*
JOHNSON*TED**JR*N*999999999~ N2*M~ HL*4*3*5*0~ LX*1~ VEH*1*1200000000000000*
19*98*NA*CHEVY~ REF*LV*ABC123~ HL*5*3*5*0~ LX*1~ VEH*2*1500000000000000
*19*97*NA*FORD~ REF*LV*ZZZ123~ HL*6*2*4*1~ NM1*IL*1*DOE*JANE* X***N*
124456789~ N2*F~ N3*900 SOME STREET*APT 10N~ N4*ALBANY*NY*12207~ IT1**1*IP*0
~ SI*ZZ*11*NBS~ REF*IG*124456789*1~ REF*DD**99B9937BC~ DTM *222*671010***19~
DTM*007*990809***19~ IT1*1*1*IP*0~ DTM*222*630101***19~ NM1*OP*1*SMITH*JOHN*
X***N*222222222~ N2*M~ IT1*2*1*IP*0~ DTM*222*651012***19~ NM1*OP*1* SMITH
*MARY*L ***N*333333333~ N2*F~ HL*7*6*5*0~ LX*1~ VEH*1*1300000000000000*
```

19*98*NA*CHEVY~ REF*LV*XYZ999~ HL*8*6*5*0~ LX*1~ VEH*2*1600000000000000
*19*97*NA*FORD~ REF*LV*ZZZ123~ HL*9**1*1~ NM1*IN*2*STATE INSURANCE
COMPANY*INCORPORATED*NI*12345~ N2*AND AFFILIATES*~ PER*AM*
STATEADMINISTRATOR*TE*5185556666~ IT1**1*IP*0~ DTM*368*990810***19~
HL*10*9*2*1~ NM1*2F*2*NYS DMV*****ZZ*NYS MV NYMVIE1~ HL*11*10*4*1~ NM1*IL*1*
EVANS*JANE*X*DR*SR*N*123456789~N2*M~ N3*12 MAPLE STREET*SUIE400~
N4*ALBANY*NY*12207~ IT1**1*IP*0~ SI*ZZ*11*NBS~ REF*IG*A223456789B*1~
REF*DD**45367HD12XB99~ DTM*222*681010***19~ DTM*007*990609***19~ IT1*1*1*IP*0~
DTM*222*640101***19~NM1*OP*1*WISE*TED*X*DR*II*N*222222222~N2*M~ IT1*2*1*IP*0~
DTM*222*731005***19~NM1*OP*1*WISE* TED**JR* N*999999999~ N2*M~HL*12*11*5*0~
LX*1~VEH*1*1234000000000000*19*97* NA*CHEVY~ REF*LV*ABC124~HL*13*11*5*0~
LX*1~VEH*2*1456000000000000*19*92*NA*FORD~ REF*LV*ZZZ122~ HL*14*10*4*1~ NM1*
IL*1*BAILEY*JANE*X***N*124456789~ N2*F~N3*25 I STREET*APT 4N~ N4* ALBANY*
NY*12207~IT1**1*IP*0~SI*ZZ*11*NBS~REF*IG*123356789*1~ REF*DD**10B4937BC~
DTM*222* 661010***19~DTM*007*990809***19~ IT1*1*1*IP*0~DTM*222*620101***19~NM1
*OP*1* HENRY*JOHN*X*** N*222222222~ N2*M~IT1*2*1*IP*0~DTM*222*650912***19~
NM1*OP*1*WHITE*MARY*E ***N*333333333~ N2*F~ HL*15*14*5*0~LX*1~ VEH*1*
1230000000000000*19*97*NA*PLYMT~ REF*LV*XZY999~HL*16*14*5*0~LX*1~ VEH*2*
1640000000000000*19*95*NA*PORSH~ REF*LV*Z WV123~TDS*1~CTT*2~SE*133*0001~GE*1*
123456789~IEA*1*000000009~

D.1 New Business (NBS)

The New Business (NBS) transaction details sample data for one interchange that contains one insurance company. The insurer is reporting two policies. Each policy contains one primary insured, two additional insureds, and two vehicles. Note: If NBS is sent in response to a Mandatory Verification (MVF) transaction, it must have the DMV Tracking Number (VEH10) from the MVF in the transaction.

1. ISA *00* *00* *ZZ*IEXP EXAMPLE *ZZ*NYMV NYMVIE1*990810*1045*U*00305*000000001*1*P*(Hex value 1F)~

2. GS*CI*IEXP EXAMPLE*NYMV NYMVIE1*990810*1045*123456789*X*003050~

3. ST*811*0001~

4. BIG*990810*1~

5. N1*IN*ABC INSURANCE COMPANY*NI*99999~

6. N2*INCORPORATED~

7. PER*AM*ABC ADMINISTRATOR*TE*518555555~

8. N1*2F*NYSDMV*ZZ*NYMV NYMVIE1~

**SENDER'S
INFORMATION**

**RECEIVER'S
INFORMATION**

9. HL*1**1*1~

(START OF INSURER LEVEL LOOP: OUTERMOST LOOP)

10. NM1*IN*2*ABC INSURANCE COMPANY*INCORPORATED****NI*12345~

11. N2*AND AFFILIATES*~

12. PER*AM*ABCADMINISTRATOR*TE*5185556666~

13. IT1**1*IP*0~

14. DTM*368*990810***19~

15. HL*2*1*2*1~

**(START OF STATE LEVEL LOOP:
FIRST LOOP INSIDE INSURER LEVEL LOOP)**

16. NM1*2F*2*NYSDMV*****ZZ*NYMV NYMVIE1~

17. HL*3*2*4*1~

(START OF POLICY LEVEL LOOP:

FIRST POLICY OF INSURER LEVEL LOOP FOR FIRST INSURER)

18. NM1*IL*1*DOE*JOHN*X*DR*SR*N*123456789~
(PRIMARY INSURED FOR FIRST POLICY FOR FIRST INSURER)

19. N2*M~

20. N3*10 MAIN STREET*SUITE200~

21. N4*ALBANY*NY*12207~

22. IT1**1*IP*0~

(PRIMARY INSURED INFORMATION FOR THE FIRST POLICY.
NOTE: THIS LOOP MAY REPEAT IF REPORTING MULTIPLE POLICIES OR MULTIPLE
TRANSACTION TYPES FOR THE SAME PRIMARY INSURED.)

23. SI*ZZ*11*NBS~

24. REF*IG*A123456789B*1~

25. REF*DD**54367DH12XB99~

26. DTM*222*671010***19~

27. DTM*007*990809***19~

28. IT1*1*1*IP*0~

(START OF ADDITIONAL INSURED LOOP:
FIRST ADDITIONAL INSURED FOR FIRST POLICY)

29. DTM*222*630101***19~

30. NM1*OP*1*JOHNSON*TED*X*DR*II*N*222222222~

31. N2*M~

32. IT1*2*1*IP*0~

(START OF ADDITIONAL INSURED LOOP:
SECOND ADDITIONAL INSURED FOR FIRST POLICY)

33. DTM*222*801005***19~

34. NM1*OP*1*JOHNSON*TED**JR*N*999999999~

35. N2*M~

36. HL*4*3*5~

(START OF VEHICLE LEVEL LOOP:
FIRST VEHICLE FOR FIRST POLICY)

37. LX*1~

38. VEH*1*1200000000000000*19*98*NA*CHEVY~

39. REF*LV*ABC123~

40. HL*5*3*5~

(START OF VEHICLE LEVEL LOOP:
SECOND VEHICLE FOR FIRST POLICYER)

41. LX*1~

42. VEH*2*1500000000000000*19*97*NA*FORD~

43. REF*LV*ZZZ123~

44. HL*6*2*4*1~

(START OF POLICY LEVEL LOOP:
SECOND POLICY OF INSURER LEVEL LOOP FOR FIRST INSURER)

45. NM1*IL*1*DOE*JANE*X***N*124456789~

(PRIMARY INSURED FOR SECOND POLICY)

46. N2*F~

47. N3*900 SOME STREET*APT 10N~

48. N4*ALBANY*NY*12207~

49. IT1**1*IP*0~

(PRIMARY INSURED INFORMATION FOR SECOND POLICY.
NOTE: THIS LOOP MAY REPOEAT IF REPORTING MULTIPLE POLICIES OR MULTIPLE
TRANSACTION TYPES FOR THE SAME PRIMARY INSURED.)

50. SI*ZZ*11*NBS~

51. REF*IG*124456789*1~

52. REF*DD**99B9937BC~

53. DTM*222*671010***19~

54. DTM*007*990809***19~

55. IT1*1*1*IP*0~

**(START OF ADDITIONAL INSURED LOOP:
FIRST ADDITIONAL INSURED FOR SECOND POLICY)**

56. DTM*222*630101***19~

57. NM1*OP*1*SMITH*JOHN*X***N*222222222~

58. N2*M~

59. IT1*2*1*IP*0~

**(START OF ADDITIONAL INSURED LOOP:
SECOND ADDITIONAL INSURED FOR SECOND POLICY)**

60. DTM*222*651012***19~

61. NM1*OP*1*SMITH*MARY*L***N*333333333~

62. N2*F~

63. HL*7*6*5~

**(START OF VEHICLE LEVEL LOOP:
FIRST VEHICLE FOR SECOND POLICY)**

64. LX*1~

65. VEH*1*1300000000000000*19*98*NA*CHEVY~

66. REF*LV*XYZ999~

67. HL*8*6*5~

**(START OF VEHICLE LEVEL LOOP:
SECOND VEHICLE FOR SECOND POLICY)**

68. LX*1~

69. VEH*2*1600000000000000*19*97*NA*FORD~

70. REF*LV*ZZZ123~

71. TDS*1~

72. CTT*2~

73. SE*71*0001~

74. GE*1*123456789~

75. IEA*1*000000001~

D.2 Cancellation (XLC)

The Cancellation (XLC) transaction details sample data for one interchange that contains one insurance company. The insurer is reporting two policies. Each policy contains one primary insured, two additional insureds, and two vehicles.

1. ISA *00* *00* *ZZ*IEXP EXAMPLE *ZZ*NYMV NYMVIE1*990810*1045*U*00305*000000001*1*P*(Hex value 1F)~

2. GS*CI*IEXP EXAMPLE*NYMV NYMVIE1*990810*1045*123456789*X*003050~

3. ST*811*0001~

4. BIG*990810*1~

5. N1*IN*ABC INSURANCE COMPANY*NI*99999~

6. N2*INCORPORATED~

7. PER*AM*ABC ADMINISTRATOR*TE*5185555555~

8. N1*2F*NYSDMV*ZZ*NYMV NYMVIE1~

SENDER'S INFORMATION

RECEIVER'S INFORMATION

9. HL*1**1*1~

**(START OF INSURER LEVEL LOOP:
OUTERMOST LEVEL)**

10. NM1*IN*2*ABC INSURANCE COMPANY*INCORPORATED****NI*12345~

11. N2*AND AFFILIATES~

12. PER*AM*ABCADMINISTRATOR*TE*5185556666~

13. IT1**1*IP*0~

14. DTM*368*990810***19~

15. HL*2*1*2*1~

**(START OF STATE LEVEL LOOP:
FIRST LOOP INSIDE INSURER LEVEL LOOP)**

16. NM1*2F*2*NYSDMV*****ZZ*NYMV NYMVIE1~

17. HL*3*2*4*1~

(START OF POLICY LEVEL LOOP:

FIRST POLICY OF INSURER LEVEL LOOP)

18. NM1*IL*1*DOE*JOHN*X*DR*SR*N*123456789~
(PRIMARY INSURED FOR FIRST POLICY)

19. N2*M~

20. N3*10 MAIN STREET*SUITE200~

21. N4*ALBANY*NY*12207~

22. IT1**1*IP*0~

(POLICY INFORMATION FOR FIRST POLICY.

NOTE: THIS LOOP MAY REPEAT IF REPORTING MULTIPLE POLICIES OR MULTIPLE TRANSACTION TYPES FOR THE SAME PRIMARY INSURED)

23. SI*ZZ*11*XLC~

24. SI*ZZ*12*NPP~

25. REF*IG*A123456789B*1~

26. REF*DD**54367DH12XB99~

27. DTM*222*671010***19~

28. DTM*036*990809***19~

29. IT1*1*1*IP*0~

**(START OF ADDITIONAL INSURED LOOP:
FIRST ADDITIONAL INSURED FOR FIRST POLICY)**

30. DTM*222*630101***19~

31. NM1*OP*1*JOHNSON*TED*X*DR*II*N*22222222~

32. N2*M~

33. IT1*2*1*IP*0~

**(START OF ADDITIONAL INSURED LOOP:
SECOND ADDITIONAL INSURED FOR FIRST POLICY)**

34. DTM*222*801005***19~

35. NM1*OP*1*JOHNSON*TED**JR*N*999999999~

36. N2*M~

37. HL*4*3*5~

(START OF VEHICLE LEVEL LOOP:
FIRST VEHICLE FOR FIRST POLICY)

38. LX*1~

39. VEH*1*1200000000000000*19*98*NA*CHEVY~

40. REF*LV*ABC123~

41. HL*5*3*5~

(START OF VEHICLE LEVEL LOOP:
SECOND VEHICLE FOR FIRST POLICY)

42. LX*1~

43. VEH*2*1500000000000000*19*97*NA*FORD~

44. REF*LV*ZZZ123~

45. HL*6*2*4*1~

(START OF POLICY LEVEL LOOP:
SECOND POLICY INSIDE INSURER LEVEL LOOP)

46. NM1*IL*1*DOE*JANE*X***N*124456789~
(PRIMARY INSURED FOR SECOND POLICY)

47. N2*F~

48. N3*900 SOME STREET*APT 10N~

49. N4*ALBANY*NY*12207~

50. IT1**1*IP*0~

(PRIMARY INSURED INFORMATION FOR SECOND POLICY.
NOTE: THIS LOOP MAY REPEAT IF REPORTING MULTIPLE POLICIES OR MULTIPLE
TRANSACTION TYPES FOR THE SAME PRIMARY INSURED)

51. SI*ZZ*11*XLC~

52. REF*IG*124456789*1~

53. REF*DD**99B9937BC~
54. DTM*222*671010***19~
55. DTM*036*990809***19~
56. IT1*1*1*IP*0~
**(START OF ADDITIONAL INSURED LOOP:
FIRST ADDITIONAL INSURED FOR SECOND POLICY)**
57. DTM*222*630101***19~
58. NM1*OP*1*SMITH*JOHN*X***N*22222222~
59. N2*M~
60. IT1*2*1*IP*0~
**(START OF ADDITIONAL INSURED LOOP:
SECOND ADDITIONAL INSURED FOR SECOND POLICY)**
61. DTM*222*651012***19~
62. NM1*OP*1*SMITH*MARY*L***N*33333333~
63. N2*F~
64. HL*7*6*5~
**(START OF VEHICLE LEVEL LOOP:
FIRST VEHICLE FOR SECOND POLICY)**
65. LX*1~
66. VEH*1*1300000000000000*19*98*NA*CHEVY~
67. REF*LV*XYZ999~
68. HL*8*6*5~
**(START OF VEHICLE LEVEL LOOP:
SECOND VEHICLE FOR SECOND POLICY)**
69. LX*1~
70. VEH*2*1600000000000000*19*97*NA*FORD~

71. REF*LV*ZZZ123~

72. TDS*1~

73. CTT*2~

74. SE*72*0001~

75. GE*1*123456789~

76. IEA*1*000000001~

D.3 Reinstatement (REI)

The Reinstatement (REI) transaction details sample data for one interchange that contains one insurance company. The insurer is reporting two policies. Each policy contains one primary insured, two additional insureds, and two vehicles.

1. ISA *00* *00* *ZZ*IEXP EXAMPLE *ZZ*NYMV NYMVIE1*990810*1045*U*00305*
000000001*1*P*(Hex value 1F)~

2. GS*CI*IEXP EXAMPLE*NYMV NYMVIE1*990810*1045*123456789*X*003050~

3. ST*811*0001~

4. BIG*990810*1~

5. N1*IN*ABC INSURANCE COMPANY*NI*99999~

6. N2*INCORPORATED~

7. PER*AM*ABC ADMINISTRATOR*TE*5185555555~

8. N1*2F*NYSDMV*ZZ*NYMV NYMVIE1~

SENDER'S
INFORMATION

RECEIVER'S
INFORMATION

9. HL*1**1*1~

(START OF INSURER LEVEL LOOP:
OUTERMOST LOOP)

10. NM1*IN*2*ABC INSURANCE COMPANY*INCORPORATED****NI*12345~

11. N2*AND AFFILIATES~

12. PER*AM*ABCADMINISTRATOR*TE*5185556666~

13. IT1**1*IP*0~

14. DTM*368*990810***19~

15. HL*2*1*2*1~

(START OF STATE LEVEL LOOP:
FIRST LOOP INSIDE INSURER LEVEL LOOP)

16. NM1*2F*2*NYSDMV*****ZZ*NYMV NYMVIE1~

17. HL*3*2*4*1~

(START OF POLICY LEVEL LOOP:

FIRST POLICY OF INSURER LEVEL LOOP)

18. NM1*IL*1*DOE*JOHN*X*DR*SR*N*123456789~
(PRIMARY INSURED FOR FIRST POLICY FOR FIRST INSURER)

19. N2*M~

20. N3*10 MAIN STREET*SUITE200~

21. N4*ALBANY*NY*12207~

22. IT1**1*IP*0~

**(PRIMARY INSURED INFORMATION FOR THE FIRST POLICY.
NOTE: THIS LOOP MAY REPEAT IF REPORTING MULTIPLE POLICIES OR MULTIPLE
TRANSACTION TYPES FOR THE SAME PRIMARY INSURED)**

23. SI*ZZ*11*REI~

24. REF*IG*A123456789B*1~

25. REF*DD**54367DH12XB99~

26. DTM*222*671010***19~

27. DTM*007*990809***19~

28. IT1*1*1*IP*0~

**(START OF ADDITIONAL INSURED LOOP:
FIRST ADDITIONAL INSURED FOR FIRST POLICY)**

29. DTM*222*630101***19~

30. NM1*OP*1*JOHNSON*TED*X*DR*II*N*22222222~

31. N2*M~

32. IT1*2*1*IP*0~

**(START OF ADDITIONAL INSURED LOOP:
SECOND ADDITIONAL INSURED FOR FIRST POLICY)**

33. DTM*222*801005***19~

34. NM1*OP*1*JOHNSON*TED**JR*N*999999999~

35. N2*M~

36. HL*4*3*5~
(START OF VEHICLE LEVEL LOOP:
FIRST VEHICLE FOR FIRST POLICY)
37. LX*1~
38. VEH*1*1200000000000000*19*98*NA*CHEVY~
39. REF*LV*ABC123~
40. HL*5*3*5~
(START OF VEHICLE LEVEL LOOP:
SECOND VEHICLE FOR FIRST POLICY)
41. LX*1~
42. VEH*2*1500000000000000*19*97*NA*FORD~
43. REF*LV*ZZZ123~
44. HL*6*2*4*1~
(START OF POLICY LEVEL LOOP:
SECOND POLICY INSIDE INSURER LEVEL LOOP)
45. NM1*IL*1*DOE*JANE*X***N*124456789~
(PRIMARY INSURED FOR SECOND POLICY)
46. N2*F~
47. N3*900 SOME STREET*APT 10N~
48. N4*ALBANY*NY*12207~
49. IT1**1*IP*0~
(PRIMARY INSURED INFORMATION FOR SECOND POLICY.
NOTE: THIS LOOP MAY REPEAT IS REPORTING MULTIPLE POLICIES OR MULTIPLE
TRANSACTION TYPES FOR THE SAME PRIMARY INSURED)
50. SI*ZZ*11*REI~
51. REF*IG*124456789*1~
52. REF*DD**99B9937BC~
53. DTM*222*671010***19~

54. DTM*007*990809***19~
55. IT1*1*1*IP*0~
**(START OF ADDITIONAL INSURED LOOP:
FIRST ADDITIONAL INSURED FOR SECOND POLICY)**
56. DTM*222*630101***19~
57. NM1*OP*1*SMITH*JOHN*X***N*22222222~
58. N2*M~
59. IT1*2*1*IP*0~
**(START OF ADDITIONAL INSURED LOOP:
SECOND ADDITIONAL INSURED FOR SECOND POLICY)**
60. DTM*222*651012***19~
61. NM1*OP*1*SMITH*MARY*L***N*33333333~
62. N2*F~
63. HL*7*6*5~
**(START OF VEHICLE LEVEL LOOP:
FIRST VEHICLE FOR SECOND POLICY)**
64. LX*1~
65. VEH*1*1300000000000000*19*98*NA*CHEVY~
66. REF*LV*XYZ999~
67. HL*8*6*5~
**(START OF VEHICLE LEVEL LOOP:
SECOND VEHICLE FOR SECOND POLICY)**
68. LX*1~
69. VEH*2*1600000000000000*19*97*NA*FORD~
70. REF*LV*ZZZ123~
71. TDS*1~

72. CTT*2~

73. SE*710001~

74. GE*1*123456789~

75. IEA*1*000000001~

D.4 No Insurance (NIS)

The No Insurance (NIS) transaction details sample data for one interchange that contains one insurance company. The insurer is responding to an NYS DMV Mandatory Verification (MFV) transaction with one policy. The policy contains one primary insured (as was sent by NYS DMV in the MVF transaction) and one vehicle (as was sent by NYS DMV in the MVF transaction). Note: Since the NIS is sent in response to a Mandatory Verification (MVF) transaction sent by DMV, the DMV Tracking Number (VEH10) must be on the NIS transaction so that the insurance company is properly credited for the response; the tracking number is used to calculate a company's performance.

1. ISA *00* *00* *ZZ*IEXP EXAMPLE *ZZ*NYMV NYMVIE1 *990810*1045*U*00305*000000001*1*P*(Hex value 1F)~

2. GS*CI*IEXP EXAMPLE*NYMV NYMVIE1*990810*1045*123456789*X*003050~

3. ST*811*0001~

4. BIG*990810*1~

5. N1*IN*ABC INSURANCE COMPANY*NI*99999~

6. N2*INCORPORATED~

7. PER*AM*ABC ADMINISTRATOR*TE*518555555~

8. N1*2F*NYSDMV*ZZ*NYMV NYMVIE1~

SENDER'S INFORMATION

RECEIVER'S INFORMATION

9. HL*1**1*1~

**(START OF INSURER LEVEL LOOP:
OUTERMOST LOOP)**

10. NM1*IN*2*ABC INSURANCE COMPANY*INCORPORATED****NI*12345~

11. N2*AND AFFILIATES~

12. PER*AM*ABCADMINISTRATOR*TE*5185556666~

13. IT1**1*IP*0~

14. DTM*368*990810***19~

15. HL*2*1*2*1~

**(START OF STATE LEVEL LOOP:
FIRST LOOP INSIDE INSURER LEVEL LOOP)**

16. NM1*2F*2*NYSDMV*****ZZ*NYMV NYMVIE1~

17. HL*3*2*4*1~

**(START OF POLICY LEVEL LOOP:
FIRST POLICY OF INSURER LEVEL LOOP)**

18. NM1*IL*1*DOE*JOHN*X*DR*SR*N*123456789~
(PRIMARY INSURED FOR FIRST POLICY)

19. N2*M~

20. N3*10 MAIN STREET*SUITE200~

21. N4*ALBANY*NY*12207~

22. IT1**1*IP*0~

**(PRIMARY INSURED INFORMATION FOR FIRST POLICY.
NOTE: THIS LOOP MAY REPEAT IF REPORTING MULTIPLE POLICIES OR MULTIPLE
TRANSACTION TYPES FOR THE SAME PRIMARY INSURED)**

23. SI*ZZ*11*NIS~

24. REF*DD**54367DH12XB99~

25. DTM*222*671010***19~

26. IT1*1*1*IP*0~

**(START OF ADDITIONAL INSURED LOOP:
FIRST ADDITIONAL INSURED FOR FIRST POLICY) 27.**

DTM*222*630101***19~

28. NM1*OP*1*JOHNSON*TED*X*DR*II*N*222222222~

29. N2*M~

30. IT1*2*1*IP*0~

**(START OF ADDITIONAL INSURED LOOP:
SECOND ADDITIONAL INSURED FOR FIRST POLICY) 31.**

DTM*222*801005***19~

32. NM1*OP*1*JOHNSON*TED**JR*N*999999999~

33. N2*M~

34. HL*4*3*5~

(START OF VEHICLE LEVEL LOOP:
FIRST VEHICLE FOR FIRST POLICY)

35. LX*1~

36. VEH*1*1200000000000000*19*98*NA*CHEVY~

37. REF*LV*ABC123~

38. HL*5*3*5~

(START OF VEHICLE LEVEL LOOP: SECOND
VEHICLE FOR FIRST POLICY)

39. LX*1~

40. VEH*2*1500000000000000*19*97*NA*FORD~

41. REF*LV*ZZZ123~

42. HL*6*2*4*1~

(START OF POLICY LEVEL LOOP:
SECOND POLICY INSIDE INSURER LEVEL LOOP)

43. NM1*IL*1*DOE*JANE*X***N*124456789~

(PRIMARY INSURED FOR SECOND POLICY)

44. N2*F~

45. N3*900 SOME STREET*APT 10N~

46. N4*ALBANY*NY*12207~

47. IT1**1*IP*0~

(PRIMARY INSURED INFORMATION FOR SECOND POLICY.
NOTE: THIS LOOP MAY REPEAT IF REPORTING MULTIPLE POLICIES OR MULTIPLE
TRANSACTION TYPES FOR THE SAME PRIMARY INSURED)

48. SI*ZZ*11*NIS~

49. REF*DD**99B9937BC~

50. DTM*222*671010***19~

51. IT1*1*1*IP*0~

**(START OF ADDITIONAL INSURED LOOP:
FIRST ADDITIONAL INSURED FOR SECOND POLICY)**

52. DTM*222*630101***19~

53. NM1*OP*1*SMITH*JOHN*X***N*22222222~

54. N2*M~

55. IT1*2*1*IP*0~

**(START OF ADDITIONAL INSURED LOOP:
SECOND ADDITIONAL INSURED FOR SECOND POLICY)**

56. DTM*222*651012***19~

57. NM1*OP*1*SMITH*MARY*L***N*33333333~

58. N2*F~

59. HL*7*6*5~

**(START OF VEHICLE LEVEL LOOP: FIRST
VEHICLE FOR SECOND POLICY)**

60. LX*1~

61. VEH*1*1300000000000000*19*98*NA*CHEVY~

62. REF*LV*XYZ999~

63. HL*8*6*5~

**(START OF VEHICLE LEVEL LOOP: SECOND
VEHICLE FOR SECOND POLICY)**

64. LX*1~

65. VEH*2*1600000000000000*19*97*NA*FORD****1234567890~

66. REF*LV*ZZZ123~

67. TDS*1~

68. CTT*2~

69. SE*67*0001~

70. GE*1*123456789~

71. IEA*1*000000009~

D.5 Rescind Cancellation (REC)

The Rescind Cancellation (REC) transaction details sample data for one interchange that contains one insurance company. The insurer is reporting two policies. Each policy contains one primary insured, two additional insureds, and two vehicles.

1. ISA *00* *00* *ZZ*IEXP EXAMPLE *ZZ* NYMV NYMVIE1*990810*1045*U*00305*
123456789*1*P*1F~

2. GS*CI*IEXP EXAMPLE*NYMV NYMVIE1*990810*1045*123456789*X*003050~

3. ST*811*0001~

4. BIG*990810*1~

5. N1*IN*ABC INSURANCE COMPANY*NI*99999~

6. N2*INCORPORATED~

7. PER*AM*ABC ADMINISTRATOR*TE*518555555~

8. N1*2F*NYSDMV*ZZ*NYMV NYMVIE1~

SENDER'S
INFORMATION

RECEIVER'S
INFORMATION

9. HL*1**1*1~

(START OF INSURER LEVEL LOOP:
OUTERMOST LOOP)

10. NM1*IN*2*ABC INSURANCE COMPANY*INCORPORATED****NI*12345~

11. N2*AND AFFILIATES~

12. PER*AM*ABCADMINISTRATOR*TE*5185556666~

13. IT1**1*IP*0~

14. DTM*368*990810***19~

15. HL*2*1*2*1~

(START OF STATE LEVEL LOOP:
FIRST LOOP INSIDE INSURER LEVEL LOOP)

16. NM1*2F*2*NYSDMV*****ZZ*NYMV NYMVIE1~

17. HL*3*2*4*1~

(START OF POLICY LEVEL LOOP:
FIRST POLICY OF INSURER LEVEL LOOP)

18. NM1*IL*1*DOE*JOHN*X*DR*SR*N*123456789~
(PRIMARY INSURED FOR FIRST POLICY)

19. N2*M~

20. N3*10 MAIN STREET*SUITE200~

21. N4*ALBANY*NY*12207~

22. IT1**1*IP*0~

(PRIMARY INSURED INFORMATION FOR FIRST POLICY.

NOTE: THIS LOOP MAY REPEAT IF REPORTING MULTIPLE POLICIES OR MULTIPLE TRANSACTION TYPES FOR THE SAME PRIMARY INSURED)

23. SI*ZZ*11*REC~

24. SI*ZZ*15*NPP~

25. REF*IG*A123456789B*1~

26. REF*DD**54367DH12XB99~

27. DTM*222*671010***19~

28. DTM*036*990809***19~

29. IT1*1*1*IP*0~

(START OF ADDITIONAL INSURED LOOP:
FIRST ADDITIONAL INSURED FOR FIRST POLICY)

30. DTM*222*630101***19~

31. NM1*OP*1*JOHNSON*TED*X*DR*II*N*222222222~

32. N2*M~

33. IT1*2*1*IP*0~

(START OF ADDITIONAL INSURED LOOP:
SECOND ADDITIONAL INSURED FOR FIRST POLICY)

34. DTM*222*801005***19~

35. NM1*OP*1*JOHNSON*TED**JR*N*999999999~

36. N2*M~

37. HL*4*3*5~

(START OF VEHICLE LEVEL LOOP:
FIRST VEHICLE FOR FIRST POLICY)

38. LX*1~

39. VEH*1*1200000000000000*19*98*NA*CHEVY~

40. REF*LV*ABC123~

41. HL*5*3*5~

(START OF VEHICLE LEVEL LOOP:
SECOND VEHICLE FOR FIRST POLICY)

42. LX*1~

43. VEH*2*1500000000000000*19*97*NA*FORD~

44. REF*LV*ZZZ123~

45. HL*6*2*4*1~

(START OF POLICY LEVEL LOOP:
SECOND POLICY INSIDE INSURER LEVEL LOOP)

46. NM1*IL*1*DOE*JANE*X***N*124456789~

(PRIMARY INSURED FOR SECOND POLICY)

47. N2*F~

48. N3*900 SOME STREET*APT 10N~

49. N4*ALBANY*NY*12207~

50. IT1**1*IP*0~

(PRIMARY INSURED INFORMATION FOR SECOND POLICY.
NOTE: THIS LOOP MAY REPEAT IF REPORTING MULTIPLE POLICIES OR MULTIPLE
TRANSACTION TYPES FOR THE SAME PRIMARY INSURED)

51. SI*ZZ*11*REC~

52. REF*IG*124456789*1~

53. REF*DD**99B9937BC~

54. DTM*222*671010***19~

55. DTM*036*990809***19~

56. IT1*1*1*IP*0~

**(START OF ADDITIONAL INSURED LOOP:
FIRST ADDITIONAL INSURED FOR SECOND POLICY)**

57. DTM*222*630101***19~

58. NM1*OP*1*SMITH*JOHN*X***N*22222222~

59. N2*M~

60. IT1*2*1*IP*0~

**(START OF ADDITIONAL INSURED LOOP:
SECOND ADDITIONAL INSURED FOR SECOND POLICY)**

61. DTM*222*651012***19~

62. NM1*OP*1*SMITH*MARY*L***N*33333333~

63. N2*F~

64. HL*7*6*5~

**(START OF VEHICLE LEVEL LOOP:
FIRST VEHICLE FOR SECOND POLICY)**

65. LX*1~

66. VEH*1*1300000000000000*19*98*NA*CHEVY~

67. REF*LV*XYZ999~

68. HL*8*6*5~

**(START OF VEHICLE LEVEL LOOP:
SECOND VEHICLE FOR SECOND POLICY)**

69. LX*1~

70. VEH*2*1600000000000000*19*97*NA*FORD~

71. REF*LV*ZZZ123~

72. TDS*1~

73. CTT*2~

74. SE*72*0001~

75. GE*1*123456789~

76. IEA*1*000000009~

D.6 Rescind Proof (REP)

The Rescind Proof (REP) transaction details sample data for one interchange that contains one insurance company. The insurer is reporting two policies. Each policy contains one primary insured, two additional insureds, and two vehicles.

1. ISA *00* *00* *ZZ*IEXP EXAMPLE *ZZ* NYMV NYMVIE1*990810*1045*U*00305*
123456789*1*P*1F~

2. GS*CI*IEXP EXAMPLE*NYMV NYMVIE1*990810*1045*123456789*X*003050~

3. ST*811*0001~

4. BIG*990810*1~

5. N1*IN*ABC INSURANCE COMPANY*NI*99999~

6. N2*INCORPORATED~

7. PER*AM*ABC ADMINISTRATOR*TE*518555555~

8. N1*2F*NYSDMV*ZZ*NYMV NYMVIE1~

SENDER'S INFORMATION

RECEIVER'S INFORMATION

9. HL*1**1*1~

(START OF INSURER LEVEL LOOP: OUTERMOST LOOP)

10. NM1*IN*2*ABC INSURANCE COMPANY*INCORPORATED****NI*12345~

11. N2*AND AFFILIATES*~

12. PER*AM*ABCADMINISTRATOR*TE*5185556666~

13. IT1**1*IP*0~

14. DTM*368*990810***19~

15. HL*2*1*2*1~

**(START OF STATE LEVEL LOOP:
FIRST LOOP INSIDE INSURER LEVEL LOOP)**

16. NM1*2F*2*NYSDMV*****ZZ*NYMV NYMVIE1~

17. HL*3*2*4*1~

**(START OF POLICY LEVEL LOOP:
FIRST POLICY OF INSURER LEVEL LOOP)**

18. NM1*IL*1*DOE*JOHN*X*DR*SR*N*123456789~
(PRIMARY INSURED FOR FIRST POLICY)

19. N2*M~

20. N3*10 MAIN STREET*SUITE200~

21. N4*ALBANY*NY*12207~

22. IT1**1*IP*0~

(PRIMARY INSURED INFORMATION FOR THE FIRST POLICY.

NOTE: THIS LOOP MAY REPEAT IF REPORTING MULTIPLE POLICIES OR MULTIPLE TRANSACTION TYPES FOR THE SAME PRIMARY INSURED)

23. SI*ZZ*11*REP~

24. REF*IG*A123456789B*1~

25. REF*DD**54367DH12XB99~

26. DTM*222*671010***19~

27. DTM*007*990809***19~

28. IT1*1*1*IP*0~

(START OF ADDITIONAL INSURED LOOP:
FIRST ADDITIONAL INSURED FOR FIRST POLICY)

29. DTM*222*630101***19~

30. NM1*OP*1*JOHNSON*TED*X*DR*II*N*22222222~

31. N2*M~

32. IT1*2*1*IP*0~

(START OF ADDITIONAL INSURED LOOP:
SECOND ADDITIONAL INSURED FOR FIRST POLICY)

33. DTM*222*801005***19~

34. NM1*OP*1*JOHNSON*TED**JR*N*99999999~

35. N2*M~

36. HL*4*3*5~

(START OF VEHICLE LEVEL LOOP:
FIRST VEHICLE FOR FIRST POLICY)

37. LX*1~

38. VEH*1*1200000000000000*19*98*NA*CHEVY~

39. REF*LV*ABC123~

40. HL*5*3*5~

(START OF VEHICLE LEVEL LOOP:
SECOND VEHICLE FOR FIRST POLICY)

41. LX*1~

42. VEH*2*1500000000000000*19*97*NA*FORD~

43. REF*LV*ZZZ123~

44. HL*6*2*4*1~

(START OF POLICY LEVEL LOOP:
SECOND POLICY FOR FIRST INSURER)

45. NM1*IL*1*DOE*JANE*X***N*124456789~

(PRIMARY INSURED FOR SECOND POLICY)

46. N2*F~

47. N3*900 SOME STREET*APT 10N~

48. N4*ALBANY*NY*12207~

49. IT1**1*IP*0~

(PRIMARY INSURED INFORMATION FOR SECOND POLICY.
NOTE: THIS LOOP MAY REPEAT IF REPORTING MULTIPLE POLICIES OR MULTIPLE
TRANSACTION TYPES FOR THE SAME PRIMARY INSURED)

50. SI*ZZ*11*REP~

51. REF*IG*124456789*1~

52. REF*DD**99B9937BC~

53. DTM*222*671010***19~

54. DTM*007*990809***19~

55. IT1*1*1*IP*0~

**(START OF ADDITIONAL INSURED LOOP:
FIRST ADDITIONAL INSURED FOR SECOND POLICY)**

56. DTM*222*630101***19~

57. NM1*OP*1*SMITH*JOHN*X***N*22222222~

58. N2*M~

59. IT1*2*1*IP*0~

**(START OF ADDITIONAL INSURED LOOP:
SECOND ADDITIONAL INSURED FOR SECOND POLICY)**

60. DTM*222*651012***19~

61. NM1*OP*1*SMITH*MARY*L***N*33333333~

62. N2*F~

63. HL*7*6*5~

**(START OF VEHICLE LEVEL LOOP:
FIRST VEHICLE FOR SECOND POLICY)**

64. LX*1~

65. VEH*1*1300000000000000*19*98*NA*CHEVY~

66. REF*LV*XYZ999~

67. HL*8*6*5~

**(START OF VEHICLE LEVEL LOOP:
SECOND VEHICLE FOR SECOND POLICY)**

68. LX*1~

69. VEH*2*1600000000000000*19*97*NA*FORD~

70. REF*LV*ZZZ123~

71. TDS*1~

72. CTT*2~

73. SE*71*0001~

74. GE*1*123456789~

75. IEA*1*000000009~

D.7 DLR/TRA New Business (NBS)

The New Business (NBS) transaction for dealer/transporter registrations details sample data for one interchange that contains one insurance company. The insurer is reporting two policies. Each policy contains one primary insured, two additional insureds, and two vehicles.

1. ISA *00* *00* *ZZ*IEXP EXAMPLE *ZZ*NYMV NYMVIE1*990810*1045*U*00305*
123456789*1*P*1F~

2. GS*CI*IEXP EXAMPLE*NYMV NYMVIE1*990810*1045*123456789*X*003050~

3. ST*811*0001~

4. BIG*990810*1~

5. N1*IN*ABC INSURANCE COMPANY*NI*99999~

6. N2*INCORPORATED~

7. PER*AM*ABC ADMINISTRATOR*TE*518555555~

8. N1*2F*NYSDMV*ZZ*NYMV NYMVIE1~

SENDER'S INFORMATION

RECEIVER'S INFORMATION

9. HL*1**1*1~

(START OF INSURER LEVEL LOOP: OUTERMOST LOOP)

10. NM1*IN*2*ABC INSURANCE COMPANY*INCORPORATED****NI*12345~

11. N2*AND AFFILIATES*~

12. PER*AM*ABCADMINISTRATOR*TE*5185556666~

13. IT1**1*IP*0~

14. DTM*368*990810***19~

15. HL*2*1*2*1~

**(START OF STATE LEVEL LOOP:
FIRST LOOP INSIDE INSURER LEVEL LOOP)**

16. NM1*2F*2*NYSDMV*****ZZ*NYMV NYMVIE1~

17. HL*3*2*4*1~

**(START OF POLICY LEVEL LOOP:
FIRST POLICY OF INSURER LEVEL LOOP)**

18. NM1*IL*1*DOE*JOHN*X*DR*SR*N*123456789~
(PRIMARY INSURED FOR FIRST POLICY)

19. N2*M~

20. N3*10 MAIN STREET*SUITE200~

21. N4*ALBANY*NY*12207~

22. IT1**1*IP*0~

(PRIMARY INSURED INFORMATION FOR THE FIRST POLICY.

NOTE: THIS LOOP MAY REPEAT IF REPORTING MULTIPLE POLICIES OR MULTIPLE TRANSACTION TYPES FOR THE SAME PRIMARY INSURED)

23. SI*ZZ*11*NBS~

24. REF*IG*A123456789B*8~

25. REF*DD**54367DH12XB99~

26. DTM*222*671010***19~

27. DTM*007*990809***19~

28. IT1*1*1*IP*0~

(START OF ADDITIONAL INSURED LOOP:
FIRST ADDITIONAL INSURED FOR FIRST POLICY)

29. DTM*222*630101***19~

30. NM1*OP*1*JOHNSON*TED*X*DR*II*N*22222222~

31. N2*M~

32. IT1*2*1*IP*0~

(START OF ADDITIONAL INSURED LOOP:
SECOND ADDITIONAL INSURED FOR FIRST POLICY)

33. DTM*222*801005***19~

34. NM1*OP*1*JOHNSON*TED**JR*N*999999999~

35. N2*M~

36. HL*4*3*5~

(START OF VEHICLE LEVEL LOOP:
FIRST VEHICLE FOR FIRST POLICY)

37. LX*1~

38. VEH*1*NYDLR62MCD64TRA66*20*06*NA*NONE~

39. REF*LV*ABC123~

40. HL*5*3*5~

(START OF VEHICLE LEVEL LOOP:
SECOND VEHICLE FOR FIRST POLICY)

41. LX*1~

42. VEH*2* NYDLR62MCD64TRA66*20*06*NA*NONE~

43. REF*LV*ZZZ123~

44. HL*6*2*4*1~

(START OF POLICY LEVEL LOOP:
SECOND POLICY FOR FIRST INSURER)

45. NM1*IL*1*DOE*JANE*X***N*124456789~

(PRIMARY INSURED FOR SECOND POLICY)

46. N2*F~

47. N3*900 SOME STREET*APT 10N~

48. N4*ALBANY*NY*12207~

49. IT1**1*IP*0~

(PRIMARY INSURED INFORMATION FOR SECOND POLICY.
NOTE: THIS LOOP MAY REPEAT IF REPORTING MULTIPLE POLICIES OR MULTIPLE
TRANSACTION TYPES FOR THE SAME PRIMARY INSURED)

50. SI*ZZ*11*NBS~

51. REF*IG*124456789*8~

52. REF*DD**99B9937BC~

53. DTM*222*671010***19~

54. DTM*007*990809***19~

55. IT1*1*1*IP*0~

**(START OF ADDITIONAL INSURED LOOP:
FIRST ADDITIONAL INSURED FOR SECOND POLICY)**

56. DTM*222*630101***19~

57. NM1*OP*1*SMITH*JOHN*X***N*222222222~

58. N2*M~

59. IT1*2*1*IP*0~

**(START OF ADDITIONAL INSURED LOOP:
SECOND ADDITIONAL INSURED FOR SECOND POLICY)**

60. DTM*222*651012***19~

61. NM1*OP*1*SMITH*MARY*L***N*333333333~

62. N2*F~

63. HL*7*6*5~

**(START OF VEHICLE LEVEL LOOP:
FIRST VEHICLE FOR SECOND POLICY)**

64. LX*1~

65. VEH*1* NYDLR62MCD64TRA66*20*06*NA*NONE~

66. REF*LV*XYZ999~

67. HL*8*6*5~

**(START OF VEHICLE LEVEL LOOP:
SECOND VEHICLE FOR SECOND POLICY)**

68. LX*1~

69. VEH*2*NYDLR62MCD64TRA66*20*06*NA*NONE~

70. REF*LV*ZZZ123~

71. TDS*1~

72. CTT*2~

73. SE*71*0001~

74. GE*1*123456789~

75. IEA*1*000000009~

D.8 Mandatory Verification (MVF)

The Mandatory Verification transaction details sample data for one interchange that contains two policies. Each policy contains one primary insured (NYS DMV registrant) and one vehicle. A unique tracking number is assigned to DMV initiated MVF requests. The specific tracking number must be returned to DMV with the corresponding MVF response or with the resubmission transaction. If there are two outstanding tracking numbers for the same insured/registrant name and vehicle, the tracking number for the most recent DMV notice shall be used. Tracking number is not utilized for matching transaction to DMV records, however it is used to calculate your company's performance. Return the DMV tracking number in order to receive "credit" for responding to that request.

1. ISA *00* *00* *ZZ*NYMV NYMVIE1*ZZ*IEXP EXAMPLE *990810*1045*U*00305*123456789*1*P* 1F)~

2. GS*CI*NYMV NYMVIE1*IEXP EXAMPLE *990810*1045*123456789*X*003050~

3. ST*811*0001~

4. BIG*990810*1~

5. N1*2F*NYSDMV*ZZ*NYMV NYMVIE1~

6. PER*AM*NYSDMV*TE*5184749691~

7. N1*IN*ABC INSURANCE COMPANY*NI*99999~

**SENDER'S
INFORMATION**

**RECEIVER'S
INFORMATION**

8. HL*1**1*1~

**(START OF INSURER LEVEL LOOP:
OUTERMOST LOOP)**

9. NM1*IN*2*ABC INSURANCE COMPANY****NI*12345~

10. N2*INCORPORATED~

11. IT1**1*IP*0~

12. DTM*368*990810***19~

13. HL*2*1*2*1~

**(START OF STATE LEVEL LOOP:
FIRST LOOP INSIDE INSURER LEVEL LOOP)**

14. NM1*2F*2*NYSDMV*****ZZ*NYMV NYMVIE1~

15. HL*3*2*4*1~

(START OF POLICY LEVEL LOOP:

FIRST POLICY OF INSURER LEVEL LOOP)

16. NM1*IL*1*DOE*JOHN*X*DR*SR*N*123456789~
(NYS DMV REGISTRANT)

17. N2*M~

18. N3*10 MAIN STREET*SUITE200~

19. N4*ALBANY*NY*12207~

20. IT1**1*IP*0~
(NYS DMV REGISTRANT INFORMATION FOR FIRST POLICY)

21. SI*ZZ*11*MVF~

22. REF*XM*123456789~

23. DTM*222*671010***19~

24. DTM*007*990809***19~

25. HL*4*3*5~
(START OF VEHICLE LEVEL LOOP:
FIRST VEHICLE FOR FIRST POLICY)

26. LX*1~

27. VEH*1*1200000000000000*19*98*ZZ*CHEVY***1223456789~

28. REF*LV*ABC123~

29. HL*6*2*4*1~
(START OF POLICY LEVEL LOOP:
SECOND POLICY INSIDE INSURER LEVEL LOOP)

30. NM1*IL*1*DOE*JANE*X***N*124456789~
(NYS DMV REGISTRANT)

31. N2*F~

32. N3*900 SOME STREET*APT 10N~

33. N4*ALBANY*NY*12207~

34. IT1**1*IP*0~

(NYS DMV REGISTRANT INFORMATION FOR SECOND POLICY)

35. SI*ZZ*11*MVF~

36. REF*IG*124456789*1~

37. DTM*222*671010***19~

38. DTM*007*990809***19~

39. HL*7*6*5~

**(START OF VEHICLE LEVEL LOOP:
FIRST VEHICLE FOR SECOND POLICY)**

40. LX*1~

41. VEH*1*1300000000000000*19*98*ZZ*CHEV***1234446789~

42. REF*LV*XYZ999~

43. TDS*1~

44. CTT*2~

45. SE*43*0001~

46. GE*1*123456789~

47. IEA*1*000000001~

D.9 EDI Error Transaction: Resolved

The Error Transaction: Resolved transaction details sample data for one interchange that contains two policies. Each policy contains one primary insured and one vehicle as reported by the insurer and one primary insured and one vehicle from the NYS DMV registrant record that most closely matched the information submitted by the insurer.

1. ISA*00* *00* *ZZ*NYMV NYMVIE1*ZZ*IEXP EXAMPLE *990810*1045*U*00305*
000000001*1*P*1F)~

2. GS*CI*NYMV NYMVIE1*IEXP EXAMPLE *990810*1045*123456789*X*003050~

3. ST*811*0001~

4. BIG*990810*1~

5. N1*2F*NYSDMV*ZZ*NYMV NYMVIE1~

6. PER*AM*NYSDMV*TE*5184749691~

**SENDER'S
INFORMATION**

7. N1*IN*ABC INSURANCE COMPANY*INCORPORATED*NI*99999~

**RECEIVER'S
INFORMATION**

8. HL*1**1*1~

**(START OF INSURER LEVEL LOOP:
OUTERMOST LOOP)**

9. NM1*IN*2*ABC INSURANCE COMPANY*INCORPORATED****NI*12345~

10. N2*AND AFFILIATES*~

11. IT1**1*IP*0~

12. DTM*368*990810***19~

13. HL*2*1*2*1~

**(START OF STATE LEVEL LOOP:
FIRST LOOP INSIDE INSURER LEVEL LOOP)**

14. NM1*2F*2*NYSDMV*****ZZ*NYMV NYMVIE1~

15. HL*3*2*4*1~

**(START OF POLICY LEVEL LOOP:
FIRST POLICY OF INSURER LEVEL LOOP)**

16. NM1*IL*1*DOE*JOHN*X*DR*SR*N*123456789~

(RESOLVED INSURED AS REPORTED BY INSURANCE COMPANY)

17. N2*M~

18. N3*10 MAIN STREET*SUITE200~

19. N4*ALBANY*NY*12207~

20. IT1**1*IP*0~

(PRIMARY INSURED INFORMATION AS REPORTED BY INSURANCE COMPANY)

21. SI*ZZ*11*NBS~

22. REF*XM*123456789~

23. DTM*222*671010***19~

24. DTM*007*990809***19~

25. IT1*9*1*IP*0~

26. DTM*222*671010***19~

27. NM1*OP*1*DOE*JONATHAN*X*DR*SR*N*1234567892~

(NYS DMV REGISTRANT INFORMATION USED TO RESOLVE FIRST POLICY)

28. N2*M~

29. HL*4*3*5~

(START OF VEHICLE LEVEL LOOP:

VEHICLE INFORMATION AS REPORTED BY INSURANCE COMPANY)

30. VEH*1*12345678900000000*19*98*ZZ*CHEVY~

31. REF*1Q*R500~

(NYS DMV ERROR CODE:

R500: MATCHED RECORD AFTER RESOLUTION)

32. REF*LV*ABC123~

33. HL*5*3*5~

(VEHICLE INFORMATION FROM NYS DMV REGISTRATION RECORD)

34. LX*1~

35. VEH*1*12345678900000001*19*98*ZZ*CHEVY~

36. REF*1Q*R505~

(NYS DMV ERROR CODE:
R505: ASSOCIATED NYS DMV REGISTRANT INFORMATION)

37. REF*LV*ABC123~

38. HL*6*2*4*1~

(START OF POLICY LEVEL LOOP:
SECOND POLICY INSIDE INSURER LEVEL LOOP)

39. NM1*IL*1*DOE*JANE*X***N*124456789~

(PRIMARY INSURED FOR SECOND POLICY AS REPORTED BY INSURANCE COMPANY)

40. N2*F~

41. N3*900 SOME STREET*APT 10N~

42. N4*ALBANY*NY*12207~

43. IT1**1*IP*0~

(PRIMARY INSURED INFORMATION FOR SECOND POLICY AS REPORTED BY
INSURANCE COMPANY)

44. SI*ZZ*11*NBS~

45. REF*IG*124456789*1~

46. REF*DD**99B9937BC~

47. DTM*222*671010***19~

48. DTM*007*990809***19~

49. IT1*9*1*IP*0~

50. DTM*222*671010***19~

51. NM1*OP*1*DOE*JEAN*X***N*1244567892~

(NYS DMV REGISTRANT INFORMATION USED TO RESOLVE SECOND POLICY)

52. N2*F~

53. HL*7*6*5~

(VEHICLE INFORMATION AS REPORTED BY INSURANCE COMPANY FOR SECOND

NYS DMV

POLICY)

54. LX*1~

55. VEH*1*2345678900000000*19*98*ZZ*CHEVY~

56. REF*1Q*R500~

**(NYS DMV ERROR CODE:
R500: MATCHED RECORD AFTER RESOLUTION)**

57. REF*LV*XYZ999~

58. HL*8*6*5~

**(START OF VEHICLE LEVEL LOOP:
VEHICLE INFORMATION FROM NYS DMV REGISTRANT FILE FOR SECOND POLICY)**

59. LX*1~

60. VEH*1*23456789000000001*19*97*ZZ*FORD~

61. REF*1Q*R505

**(NYS DMV ERROR CODE:
R505: ASSOCIATED NYS DMV REGISTRANT INFORMATION)**

62. REF*LV*XYZ999~

63. TDS*1~

64. CTT*2~

65. SE*63*0001~

66. GE*1*123456789~

67. IEA*1*000000001~

D.10 EDI Error Transaction: Unresolved

The Error Transaction: Unresolved transaction details sample data for one interchange that contains one policy. The policy contains one primary insured and one vehicle as reported by the insurer that could not be matched to any record by NYS DMV. The insurer will need to 1) correct the registrant and/or vehicle information to resubmit the information in a separate transaction or 2) determine that the policy is not meant for a vehicle registered in New York State (i.e. the vehicle is registered in another state) and not resubmit the policy to NYS DMV.

1. ISA*00* *00* *ZZ*NYMV NYMVIE1*ZZ*IEXP EXAMPLE *990810*1045*U*00305*000000001*1*P*(Hex value 1F)~

2. GS*CI*NYMV NYMVIE1*IEXP EXAMPLE *990810*1045*123456789*X*003050~

3. ST*811*0001~

4. BIG*990810*1~

5. N1*2F*NYSDMV*ZZ*NYMV NYMVIE1~

6. PER*AM*NYSDMV*TE*5184749691~

7. N1*IN*ABC INSURANCE COMPANY*NI*99999~

SENDER'S INFORMATION

RECEIVER'S INFORMATION

8. HL*1**1*1~

**(START OF INSURER LEVEL LOOP:
OUTERMOST LOOP)**

9. NM1*IN*2*ABC INSURANCE COMPANY*INCORPORATED*NI****12345~

10. N2*AND AFFILIATES~

11. IT1**1*IP*0~

12. DTM*368*990810***19~

13. HL*2*1*2*1~

14. NMI*2F*2*NYSDMV*****ZZ*NYMV NYMVIE1

15. HL*3*2*4*1~

**(START OF POLICY LEVEL LOOP:
FIRST POLICY OF INSURER LEVEL LOOP)**

16. LX*1~

17. REF*1Q*E760~

18. NM1*IL*1*DOE*JANE*X***N*124456789~
(PRIMARY INSURED AS REPORTED BY INSURANCE COMPANY)

19. N2*F~

20. N3*900 SOME STREET*APT 10N~

21. N4*ALBANY*NY*12207~

22. IT1**1*IP*0~
(PRIMAY INSURED INFORMATION AS REPORTED BY INSURANCE COMPANY)

23. SI*ZZ*11*NBS~

24. REF*IG*124456789*1~

25. REF*DD**99B9937BC~

26. DTM*222*671010***19~

27. DTM*007*991309***19~

28. IT1*1*1*IP*0~
(START OF ADDITIONAL INSURED LOOP:
FIRST ADDITIONAL INSURED FOR FIRST POLICY AS REPORTED BY INSURANCE
COMPANY)

29. DTM*222*630101***19~

30. NM1*OP*1*SMITH*JOHN*X***N*22222222~

31. N2*M~

32. IT1*2*1*1P*0~
(START OF ADDITIONAL INSURED LOOP:
SECOND ADDITIONAL INSURED FOR FIRST POLICY AS REPORTED BY INSURANCE
COMPANY)

33. DTM*222*651012***19~

34. NM1*OP*1*SMITH*MARY*L***N*33333333~

35. HL*4*3*5~

**(START OF VEHICLE LEVEL LOOP:
FIRST VEHICLE FOR FIRST POLICY AS REPORTED BY INSURANCE COMPANY)**

36. LX*1~

37. VEH*2*1600000000000000*19*97*ZZ*FORD****1234566789~

38. REF*1Q*U500~

**(NYS DMV UNRESOLVED ERROR CODE RELEVANT TO VEHICLE IN PREVIOUS VEHICLE
SEGMENT: U500 UNRESOLVED RECORD- NO VIN or NAME HIT)**

39. REF*LV*ZZZ123~

40. TDS*1~

41. CTT*2~

42. SE*38*0001~

43. GE*1*123456789~

44. IEA*1*000000001~

D.11 EDI Error Transaction: Multiple Resolved and Unresolved for the same policy

The Error Transaction: Multiple Resolved and Unresolved for the same policy details sample data for one interchange that contains two policies returned to an insurer. The first policy contains one resolved vehicle and one unresolved vehicle. The second policy contains an unresolved vehicle with a VIN hit and the associated NYS DMV registrant information for that vehicle.

1. ISA*00* *00* *ZZ*NYMV NYMVIE1*ZZ*IEXP EXAMPLE *
990810*1045*U*00305*000000001*1*P*(Hex value 1F)~
(INTERCHANGE CONTROL HEADER)

2. GS*CI*NYMV NYMVIE1*IEXP EXAMPLE *990810*1045*123456789*X*
003050~
(FUNCTIONAL GROUP HEADER)

3. ST*811*0001~
(TRANSACTION SET HEADER)

4. BIG*990810*1~

5. N1*2F*NYSDMV*ZZ*NYMV NYMVIE1~

6. PER*AM*NYSDMV*TE*5184749691~

7. N1*IN*ABC INSURANCE COMPANY*NI*99999~

SENDER'S
INFORMATION

RECEIVER'S
INFORMATION

8. HL*1**1*1~
(START OF INSURER LEVEL LOOP:
FIRST INSURER REPORTED BY INSURANCE COMPANY)

9. NM1*IN*2*ABC INSURANCE COMPANY*INCORPORATED*NI****12345~

10. N2*AND AFFILIATES~

11. IT1**1*IP*0~

12. DTM*368*990810***19~

13. HL*2*1*2*1~
(START OF STATE LEVEL LOOP)

14. NM1*2F*2*NYSDMV*****ZZ*NYMV NYMVIE1~

15. HL*3*2*4*1~
(START OF POLICY LEVEL LOOP)

FIRST POLICY OF INSURER LEVEL LOOP)

16. LX*1~

17. REF*1Q*E760~

18. NM1*IL*1*DOE*JANE*X***N*124456789~
(PRIMARY INSURED FOR FIRST POLICY)

19. N2*F~

20. N3*900 SOME STREET*APT 10N~

21. N4*ALBANY*NY*12207~

22. IT1**1*IP*0~
**(PRIMARY INSURED INFORMATION AS REPORTED BY INSURANCE COMPANY FOR
FIRST POLICY)**

23. SI*ZZ*11*NBS~

24. REF*IG*124456789*1~

25. REF*DD**99B9937BC~

26. DTM*222*671010***19~

27. DTM*007*991309***19~

28. IT1*1*1*IP*0~
**(START OF ADDITIONAL INSURED LOOP:
FIRST ADDITIONAL INSURED FOR FIRST POLICY AS REPORTED BY
INSURANCE COMPANY)**

29. DTM*222*630101***19~

30. NM1*OP*1*SMITH*JOHN*X***N*22222222~

31. N2*M~

32. IT1*2*1*1P*0~
**(START OF ADDITIONAL INSURED LOOP:
SECOND ADDITIONAL INSURED FOR FIRST POLICY AS REPORTED BY
INSURANCE COMPANY)**

33. DTM*222*651012***19~

34. NM1*OP*1*SMITH*MARY*L***N*333333333~

35. N2*F~

36. IT1*9*1*1P*0~

**(START OF ADDITIONAL INSURED LOOP:
REGISTRATION INFORMATION FROM NYS DMV RECORDS FOR RESOLVED
VEHICLE)**

37. DTM*222*630113***19~

38. NM1*OP*1*SMITH*WILLIAM****N*223333333~

39. N2*M~

40. HL*4*3*5~

**(START OF VEHICLE LEVEL LOOP:
FIRST VEHICLE WITH ERRORS FOR FIRST POLICY AS REPORTED BY INSURANCE
COMPANY)**

41. LX*1~

42. VEH*1*1600000000000000*19*97*ZZ*FORD****1234566789~

43. REF*1Q*U500~

**(NYS DMV UNRESOLVED ERROR CODE:
U500: UNRESOLVED RECORD- NO VIN or NAME HIT)**

44. HL*5*3*5~

**(SECOND VEHICLE WITH ERRORS AS REPORTED BY INSURANCE COMPANY FOR FIRST
POLICY)**

45. LX*1~

46. VEH*1*2345678900000000*19*98*ZZ*CHEVY~

47. REF*1Q*R500~

**(NYS DMV ERROR CODE:
R500: MATCHED RECORD AFTER RESOLUTION)**

48. REF*LV*XYZ999~

49. HL*6*3*5~

**(START OF VEHICLE LEVEL LOOP:
VEHICLE INFORMATION FROM NYS DMV REGISTRANT FILE FOR FIRST POLICY FOR
SECOND VEHICLE WITH ERRORS)**

50. LX*1~

51. VEH*1*2345678900000001*19*98*ZZ*CHEVY~

52. REF*1Q*R505~

**(NYS DMV ERROR CODE:
R505: ASSOCIATED NYS DMV REGISTRANT INFORMATION)**

53. HL*7*2*4*1~

**(START OF POLICY LEVEL LOOP:
SECOND POLICY OF INSURER LEVEL LOOP)**

54. LX*1~

55. REF*1Q*E760~

56. NM1*IL*1*DOE*JANE*X***N*124456789~

(PRIMARY INSURED AS REPORTED BY INSURANCE COMPANY FOR SECOND POLICY)

57. N2*F~

58. N3*900 SOME STREET*APT 10N~

59. N4*ALBANY*NY*12207~

60. IT1**1*IP*0~

**(PRIMARY INSURED INFORMATION AS REPORTED BY INSURANCE COMPANY FOR
SECOND POLICY)**

61. SI*ZZ*11*NBS~

62. REF*IG*124456789*1~

63. REF*DD**99B9937BC~

64. DTM*222*671010***19~

65. DTM*007*991309***19~

66. IT1*1*1*IP*0~

(START OF ADDITIONAL INSURED LOOP:

**FIRST ADDITIONAL INSURED FOR SECOND POLICY AS REPORTED BY
INSURANCE COMPANY)**

67. DTM*222*630101***19~

68. NM1*OP*1*SMITH*JOHN*X***N*222222222~

69. N2*M~

70. IT1*2*1*1P*0~

**(START OF ADDITIONAL INSURED LOOP:
SECOND ADDITIONAL INSURED FOR SECOND POLICY AS REPORTED BY INSURANCE
COMPANY)**

71. DTM*222*651012***19~

72. NM1*OP*1*SMITH*MARY*L***N*333333333~

73. IT1*9*1*1P*0~

**(START OF ADDITIONAL INSURED LOOP:
REGISTRATION INFORMATION FROM NYS DMV RECORDS FOR UNRESOLVED- VIN
HIT VEHICLE)**

74. DTM*222*671012***19~

75. NM1*OP*1*SMITH*MARY*J***N*333333333~

76. HL*8*7*5~

**(FIRST VEHICLE WITH ERRORS AS REPORTED BY INSURANCE COMPANY FOR SECOND
POLICY)**

77. LX*1~

78. VEH*2*9999900000000000*19*89*ZZ*TOYOT****9999566789~

79. REF*1Q*U600~

**(NYS DMV UNRESOLVED ERROR CODE RELEVANT TO VEHICLE IN PREVIOUS VEHICLE
SEGMENT:
U600: UNMATCHED RECORD- VIN HIT)**

80. HL*9*7*5~

**(START OF VEHICLE LEVEL LOOP:
VEHICLE INFORMATION FROM NYS DMV REGISTRANT FILE FOR SECOND POLICY FOR
FIRST VEHICLE WITH ERRORS)**

81. LX*1~

82. VEH*2*9999900000000000*19*89*ZZ*TOYOT****9999566789~

83. REF*1Q*U605~

(NYS DMV UNRESOLVED ERROR CODE RELEVANT TO VEHICLE IN PREVIOUS VEHICLE
SEGMENT:

U605: ASSOCIATED NYS DMV REGISTRANT INFORMATION)

84. REF*LV*ZZZ123~

85. LX*1~

86. TDS*1~

87. CTT*2~

88. SE*86*0001~

(TRANSACTION SET TRAILER)

89. GE*1*123456789~

(FUNCTIONAL GROUP TRAILER)

90. IEA*1*000000001~

(INTERCHANGE CONTROL TRAILER)

end of document